

Theory of Formal Languages and Automata

Lecture 21

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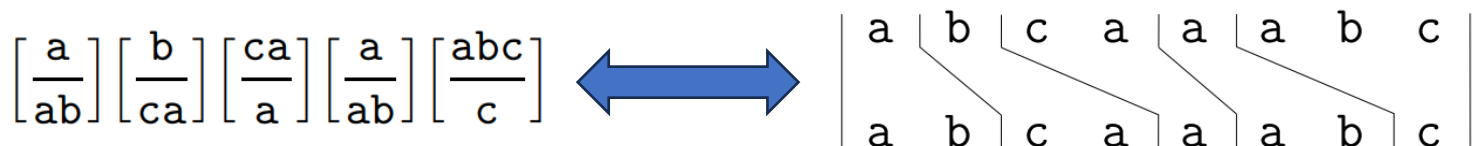
December 15, 2023

A Simple Undecidable Problem

- Undecidability is not limited to problems concerning automata.
 - Post Correspondence Problem, or PCP.
- Definition:
 - A domino that has two sides: $\begin{bmatrix} a \\ ab \end{bmatrix}$
 - A collection of dominos:

$$\left\{ \begin{bmatrix} b \\ ca \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ a \end{bmatrix}, \begin{bmatrix} abc \\ c \end{bmatrix} \right\}.$$

- Task is to find a **match**: A list of dominos from the collection (with repetitions) to get the same string on top and bottom:



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 - A collection of dominos:

$$\left\{ \begin{bmatrix} b \\ ca \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ a \end{bmatrix}, \begin{bmatrix} abc \\ c \end{bmatrix} \right\}.$$

- Task is to find a **match**: A list of dominos from the collection (with repetitions) to get the same string on top and bottom.
- Some collections do not contain a match:

$$\left\{ \begin{bmatrix} abc \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ a \end{bmatrix}, \begin{bmatrix} acc \\ ba \end{bmatrix} \right\}$$

A Simple Undecidable Problem

- Undecidability is not limited to problems concerning automata.
- Precise statement:
 - A collection P of dominos: $P = \left\{ \begin{bmatrix} t_1 \\ b_1 \end{bmatrix}, \begin{bmatrix} t_2 \\ b_2 \end{bmatrix}, \dots, \begin{bmatrix} t_k \\ b_k \end{bmatrix} \right\},$
 - A match: A sequence $i_1, i_2, \dots, i_l$, where
$$t_{i_1} t_{i_2} \dots t_{i_l} = b_{i_1} b_{i_2} \dots b_{i_l}$$
 - Problem: Determine whether P has a match.

$PCP = \{ \langle P \rangle \mid P \text{ is an instance of the Post Correspondence Problem with a match} \}.$

A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof Idea:** Reduction from A_{TM} via accepting computation histories.
 - Construct an instance of PCP from any TM M and input w ,
 - The match is an accepting computation history for M on w ,
 - A match is a simulation of M .
 - Determine a match exists $\rightarrow$ Determine M accepts w .
 - Three technical details (Modified PCP or MPCP):
 - M on w never moves off the left-hand end of the tape,
 - If $w=\epsilon$, use $\sqcup$ instead.
 - A match starts with the first domino $\begin{bmatrix} t_1 \\ b_1 \end{bmatrix}$.

A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof:**

- Assume TM R decides PCP,
- Construct TM S that decides A_{TM} .
- Consider TM $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$,
- S constructs an instance of PCP P that has a match iff M accepts w .
- S first constructs an instance P' of the MPCP.

A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof:** S first constructs an instance P' of the MPCP:
- Part 1: First configuration.

Put $\left[\frac{\#}{\#q_0w_1w_2\cdots w_n\#} \right]$ into P' as the first domino $\left[\frac{t_1}{b_1} \right]$.

- A match starts with the first configuration (C1) in the accepting computation history for M on w.
- We need more dominos causing next steps in simulation of M.

A Simple Undecidable Problem

Theorem
PCP is undecidable.

- **Proof:** S first constructs an instance P' of the MPCP:
- Part 2: Move the head to the right.

For every $a, b \in \Gamma$ and every $q, r \in Q$ where $q \neq q_{\text{reject}}$,

if $\delta(q, a) = (r, b, R)$, put $\left[\frac{qa}{br} \right]$ into P' .

- Part 3: Move the head to the left.

For every $a, b, c \in \Gamma$ and every $q, r \in Q$ where $q \neq q_{\text{reject}}$,

if $\delta(q, a) = (r, b, L)$, put $\left[\frac{cqa}{rcb} \right]$ into P' .

A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof:** S first constructs an instance P' of the MPCP:
- Part 4: Content of tape not adjacent to the head,

For every $a \in \Gamma$,

put $\begin{bmatrix} a \\ \frac{a}{a} \end{bmatrix}$ into P' .

A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof:** S first constructs an instance P' of the MPCP:
- Part 5:
 - Copy # that marks the separation of the configuration,
 - Add a blank at the end to simulate the infinite tape.

Put $\begin{bmatrix} \# \\ \# \end{bmatrix}$ and $\begin{bmatrix} \# \\ \sqcup \# \end{bmatrix}$ into P' .

A Simple Undecidable Problem

- **Example:**

- $\Gamma = \{0, 1, 2, \sqcup\}$
- $w=0100$
- Start state = q_0
- $\delta(q_0, 0) = (q_7, 2, R)$
- Part 1:

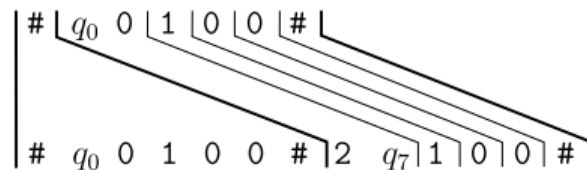
$$\left[\frac{\#}{\#q_0 0100\#} \right] = \left[\frac{t_1}{b_1} \right]$$

- Part 2:

$$\left[\frac{q_0 0}{2q_7} \right]$$

- Part 4:

$$\left[\frac{0}{0} \right], \left[\frac{1}{1} \right], \left[\frac{2}{2} \right], \text{ and } \left[\frac{\sqcup}{\sqcup} \right]$$



A Simple Undecidable Problem

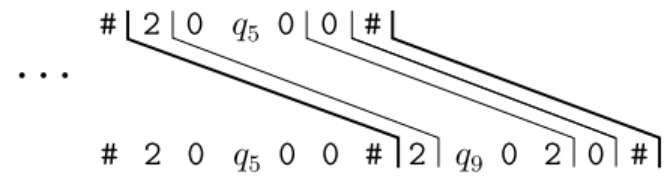
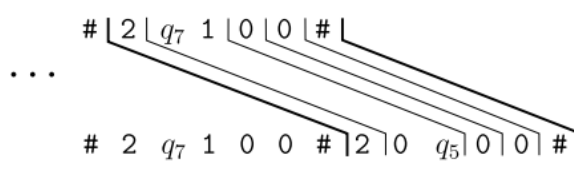
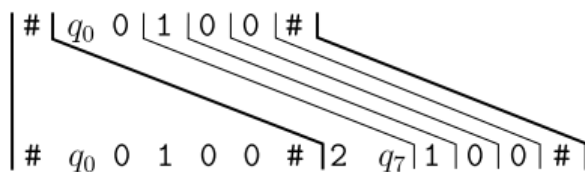
- **Example:**

- $\Gamma = \{0, 1, 2, \sqcup\}$
- $w=0100$
- Start state = q_0
- $\delta(q_5, 0) = (q_9, 2, L)$
- Part 1:

$$\left[\frac{\#}{\#q_0 0 1 0 0 \#} \right] = \left[\frac{t_1}{b_1} \right]$$

- Part 2: $\left[\frac{q_0 0}{2 q_7} \right], \left[\frac{q_7 1}{0 q_5} \right], \left[\frac{0 q_5 0}{q_9 0 2} \right], \left[\frac{1 q_5 0}{q_9 1 2} \right], \left[\frac{2 q_5 0}{q_9 2 2} \right],$ and $\left[\frac{\sqcup q_5 0}{q_9 \sqcup 2} \right]$

- Part 4: $\left[\frac{0}{0} \right], \left[\frac{1}{1} \right], \left[\frac{2}{2} \right],$ and $\left[\frac{\sqcup}{\sqcup} \right]$



A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof:** S first constructs an instance P' of the MPCP:
- If the accept state occurs, we want to let the top of the partial match “**catch up**” with the bottom so that the match is complete.
- Part 6:
 - After halt, the head “eats” adjacent symbols until none are left:

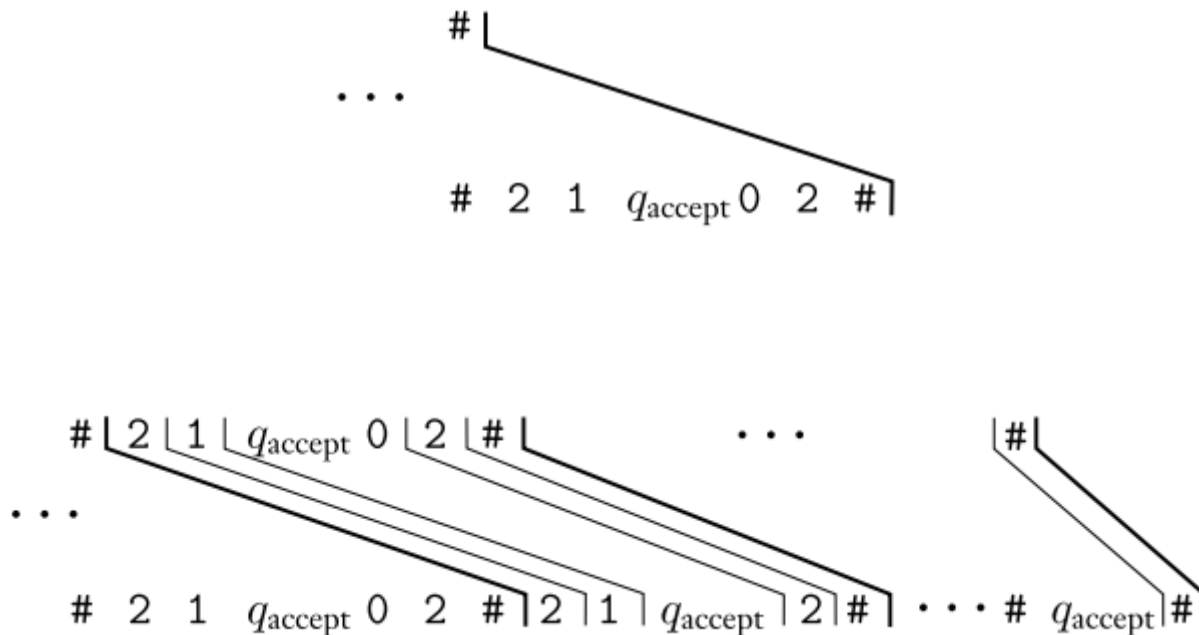
For every $a \in \Gamma$,

put $\left[\frac{a q_{\text{accept}}}{q_{\text{accept}}} \right]$ and $\left[\frac{q_{\text{accept}} a}{q_{\text{accept}}} \right]$ into P' .

A Simple Undecidable Problem

- **Example:**

- $\Gamma = \{0,1,2,\sqcup\}$
- $w=0100$



A Simple Undecidable Problem

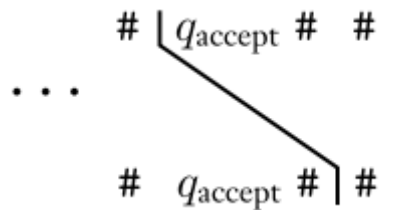
Theorem

PCP is undecidable.

- **Proof:** S first constructs an instance P' of the MPCP:
- Part 7:
 - Complete the match:

$$\left[\frac{q_{\text{accept}} \# \#}{\#} \right]$$

- **Example:**



A Simple Undecidable Problem

Theorem

PCP is undecidable.

- **Proof:** Convert P' (an instance of MPCP) to P (an instance of PCP):

- Define star operators:

$$\begin{aligned} \star u &= \star u_1 \star u_2 \star u_3 \star \cdots \star u_n \\ u \star &= u_1 \star u_2 \star u_3 \star \cdots \star u_n \star \\ \star u \star &= \star u_1 \star u_2 \star u_3 \star \cdots \star u_n \star. \end{aligned}$$

Add the extra $\star$ at the end of the match

- Modify the collection:

$$\left\{ \left[\frac{t_1}{b_1} \right], \left[\frac{t_2}{b_2} \right], \left[\frac{t_3}{b_3} \right], \cdots, \left[\frac{t_k}{b_k} \right] \right\} \longrightarrow \left\{ \left[\frac{\star t_1}{\star b_1 \star} \right], \left[\frac{\star t_1}{b_1 \star} \right], \left[\frac{\star t_2}{b_2 \star} \right], \left[\frac{\star t_3}{b_3 \star} \right], \cdots, \left[\frac{\star t_k}{b_k \star} \right], \left[\frac{\star \diamond}{\diamond} \right] \right\}$$

Mapping Reducibility

- Formalize the notion of reducibility,
 - Use reducibility in more refined ways.
 - Prove Turing-nonrecognizability,
 - Useful in complexity theory.
- Mapping reducibility or many-one reducibility.
 - Reduce problem A to B using a mapping reducibility: A **computable function** exists that converts instances of A to instances of B.

Mapping Reducibility

- Definition:

A function $f: \Sigma^* \longrightarrow \Sigma^*$ is a *computable function* if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

- Example:

- Arithmetic operations on integers,
 - $\langle m, n \rangle \rightarrow \langle m + n \rangle$
- Transformation of machine descriptions,
 - $\langle M \rangle \rightarrow \langle M' \rangle$, where M' never attempts to move its head off the left-hand end of its tape.

Mapping Reducibility

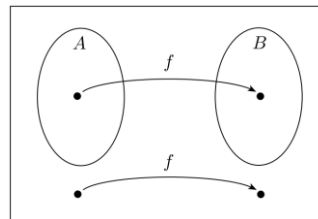
- Definition:

Language A is *mapping reducible* to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the *reduction* from A to B .

- Convert membership testing in A to membership testing in B .
- Illustration of function f reducing A to B :



Mapping Reducibility

Theorem

If $A \leq_m B$ and B is decidable, then A is decidable.

- **Proof: Let**

- M be the decider for B and
- f be the reduction from A to B .
- A decider N for A :

$N =$ “On input w :

1. Compute $f(w)$.
2. Run M on input $f(w)$ and output whatever M outputs.”

Corollary

If $A \leq_m B$ and A is undecidable, then B is undecidable.

Mapping Reducibility

- **Example:** A mapping reduction from A_{TM} to $HALT_{TM}$.
- $\langle M', w' \rangle = f(\langle M, w \rangle)$, where
$$\langle M, w \rangle \in A_{TM} \text{ if and only if } \langle M', w' \rangle \in HALT_{TM}.$$

$F =$ “On input $\langle M, w \rangle$:

1. Construct the following machine M' .

$M' =$ “On input x :

1. Run M on x .
2. If M accepts, *accept*.
3. If M rejects, enter a loop.”

2. Output $\langle M', w \rangle$.”

- If input is improper output is a string outside B.

Mapping Reducibility

Theorem

If $A \leq_m B$ and B is Turing-recognizable, then A is Turing-recognizable.

- **Proof:** Let

- M be the recognizer for B and
- f be the reduction from A to B .
- A recognizer N for A :

$N =$ “On input w :

1. Compute $f(w)$.
2. Run M on input $f(w)$ and output whatever M outputs.”

Corollary

If $A \leq_m B$ and A is not Turing-recognizable, then B is not Turing-recognizable.

Mapping Reducibility

Theorem

EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

- **Proof:**

- The reduction function f for $A_{TM} \leq_m \overline{EQ_{TM}}$:

$F =$ “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

$M_1 =$ “On any input:

1. *Reject.*”

$M_2 =$ “On any input:

1. Run M on w . If it accepts, *accept.*”

2. Output $\langle M_1, M_2 \rangle$.”

- If M accepts w , M_2 accepts everything.
 - M_1 and M_2 are not equivalent.
- If M rejects w , M_2 rejects everything.
 - M_1 and M_2 are equivalent.

Mapping Reducibility

Theorem

EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

- **Proof:**

- The reduction function g for $A_{TM} \leq_m EQ_{TM}$:

$G =$ “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

$M_1 =$ “On any input:

1. *Accept.*”

$M_2 =$ “On any input:

1. Run M on w .
2. If it accepts, *accept.*”

2. Output $\langle M_1, M_2 \rangle$.”

- If M accepts w , M_2 accepts everything.
 - M_1 and M_2 are equivalent.
- If M rejects w , M_2 rejects everything.
 - M_1 and M_2 are not equivalent.