CS162 Operating Systems and Systems Programming Lecture 21

Filesystem Transactions (Con't), End-to-End Argument, Distributed Decision Making

April 16th, 2020 Prof. John Kubiatowicz http://cs162.eecs.Berkeley.edu

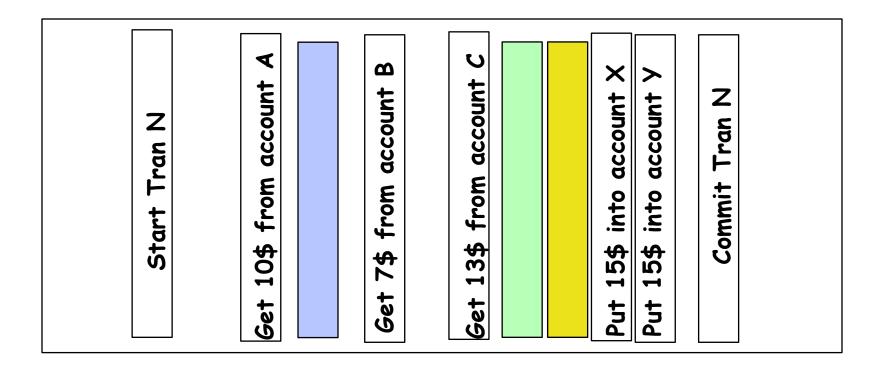
Acknowledgments: Lecture slides are from the Operating Systems course taught by John Kubiatowicz at Berkeley, with few minor updates/changes. When slides are obtained from other sources, a reference will be noted on the bottom of that slide, in which case a full list of references is provided on the last slide.

Recall: The ACID properties of Transactions

- Atomicity: all actions in the transaction happen, or none happen
- Consistency: transactions maintain data integrity, e.g.,
 - Balance cannot be negative
 - Cannot reschedule meeting on February 30
- Isolation: execution of one transaction is isolated from that of all others; no problems from concurrency
- **Durability:** if a transaction commits, its effects persist despite crashes

Concept of a log

- One simple action is atomic write/append a basic item
- Use that to seal the commitment to a whole series of actions



Transactional File Systems

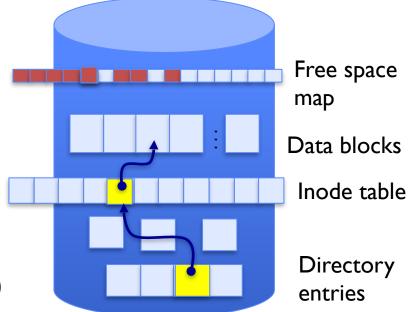
- Better reliability through use of log
 - All changes are treated as *transactions*
 - A transaction is committed once it is written to the log
 - » Data forced to disk for reliability
 - » Process can be accelerated with NVRAM
 - Although File system may not be updated immediately, data preserved in the log
- Difference between "Log Structured" and "Journaled"
 - In a Log Structured filesystem, data stays in log form
 - In a Journaled filesystem, Log used for recovery
- Journaling File System
 - Applies updates to system metadata using transactions (using logs, etc.)
 - Updates to non-directory files (i.e., user stuff) can be done in place (without logs), full logging optional
 - Ex: NTFS, Apple HFS+, Linux XFS, JFS, ext3, ext4

Journaling File Systems

- Instead of modifying data structures on disk directly, write changes to a journal/log
 - Intention list: set of changes we intend to make
 - Log/Journal is append-only
 - Single commit record commits transaction
- Once changes are in the log, it is safe to apply changes to data structures on disk
 - Recovery can read log to see what changes were intended
 - Can take our time making the changes
 - » As long as new requests consult the log first
- Once changes are copied, safe to remove log
- But, ...
 - If the last atomic action is not done ... poof ... all gone
- Basic assumption:
 - Updates to sectors are atomic and ordered
 - Not necessarily true unless very careful, but key assumption

Example: Creating a File

- Find free data block(s)
- Find free inode entry
- Find dirent insertion point
- Write map (i.e., mark used)
- Write inode entry to point to block(s)
- Write dirent to point to inode

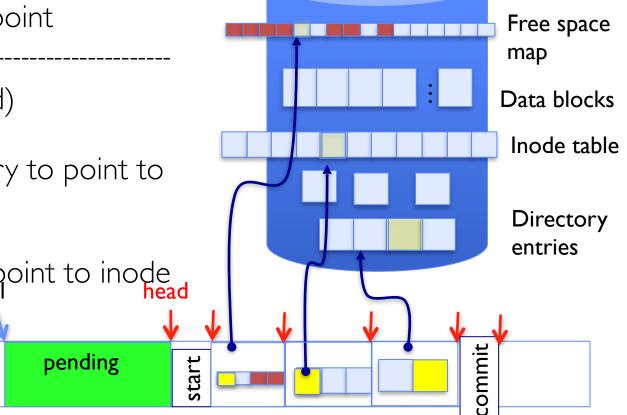


Ex: Creating a file (as a transaction)

- Find free data block(s)
- Find free inode entry
- Find dirent insertion point
- [log] Write map (used)
- [log] Write inode entry to point to block(s)

done

 [log] Write dirent to point to inode tail



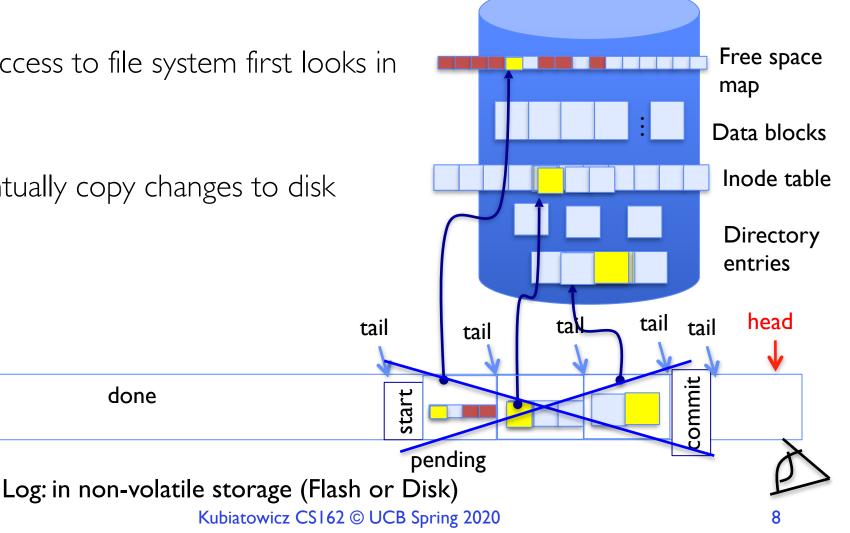
Log: in non-volatile storage (Flash or on Disk)

"Redo Log" – Replay Transactions

After Commit

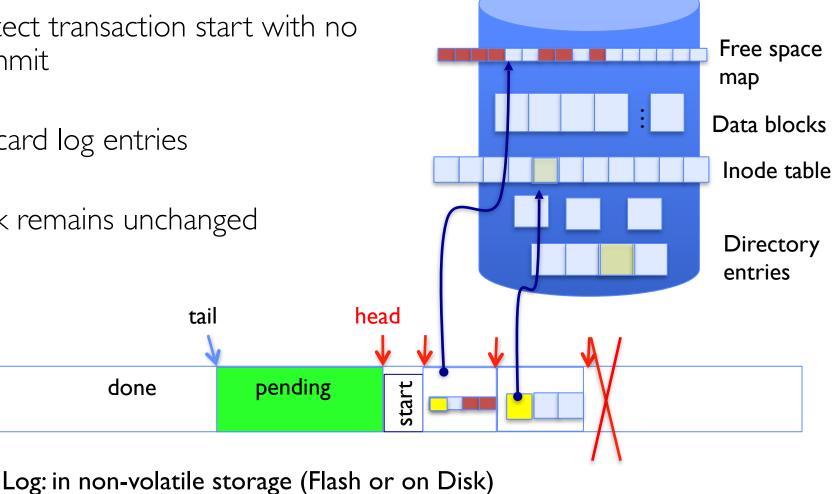
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- All access to file system first looks in log
- Eventually copy changes to disk



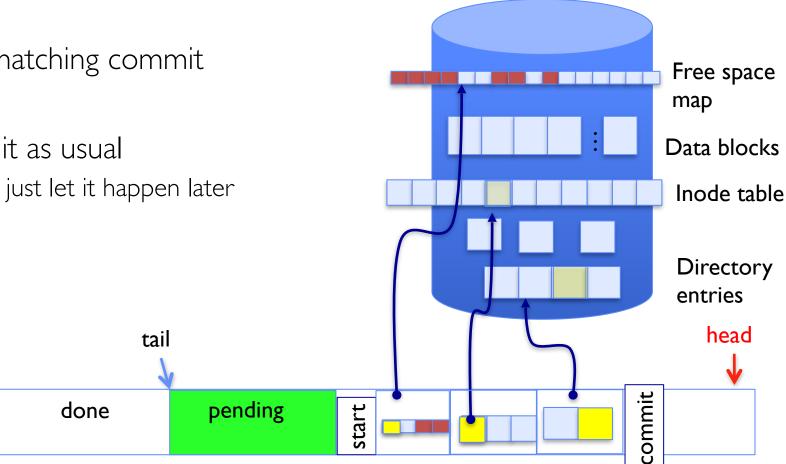
Crash During Logging – Recover

- Upon recovery scan the log
- Detect transaction start with no • commit
- Discard log entries
- Disk remains unchanged



Recovery After Commit

- Scan log, find start
- Find matching commit
- Redo it as usual
 - Or just let it happen later



Log: in non-volatile storage (Flash or on Disk)

Journaling Summary

Why go through all this trouble?

- Updates atomic, even if we crash:
 - Update either gets fully applied or discarded
 - All physical operations treated as a logical unit

Isn't this expensive?

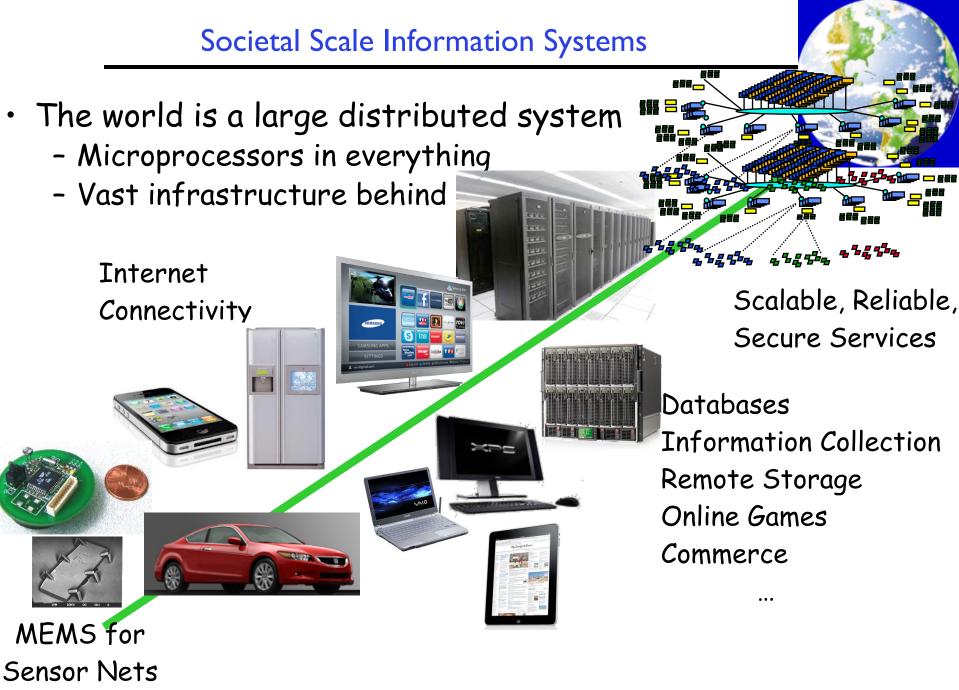
- Yes! We're now writing all data twice (once to log, once to actual data blocks in target file)
- Modern filesystems offer an option to journal metadata updates only
 - Record modifications to file system data structures
 - But apply updates to a file's contents directly

Going Further – Log Structured File Systems

- The log IS what is recorded on disk
 - File system operations *logically* replay log to get result
 - Create data structures to make this fast
 - On recovery, replay the log
- Index (inodes) and directories are written into the log too
- Large, important portion of the log is cached in memory
- Do everything in bulk: log is collection of large segments
- Each segment contains a summary of all the operations within the segment
 - Fast to determine if segment is relevant or not
- Free space is approached as continual cleaning process of segments
 - Detect what is live or not within a segment
 - Copy live portion to new segment being formed (replay)
 - Garbage collection entire segment
 - No bit map

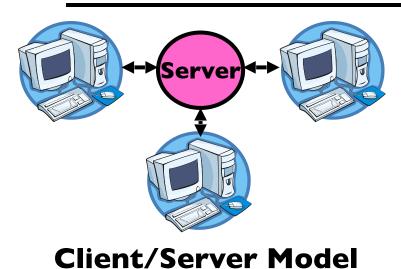
Example Use of LFS: F2FS: A Flash File System

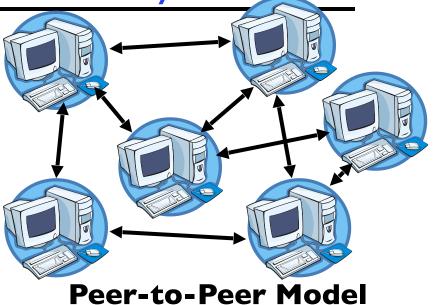
- File system used on many mobile devices
 - Including the Pixel 3 from Google
 - Latest version supports block-encryption for security
 - Has been "mainstream" in linux for several years now
- Assumes standard SSD interface
 - With built-in Flash Translation Layer (FTL)
 - Random reads are as fast as sequential reads
 - Random writes are bad for flash storage
 - » Forces FTL to keep moving/coalescing pages and erasing blocks
 - » Sustained write performance degrades/lifetime reduced
- Minimize Writes/updates and otherwise keep writes "sequential"
 - Start with Log-structured file systems/copy-on-write file systems
 - Keep writes as sequential as possible
 - Node Translation Table (NAT) for "logical" to "physical" translation
 » Independent of FTL
- For more details, check out paper in *Readings* section of website
 - "F2FS: A New File System for Flash Storage" (from 2015)
 - Design of file system to leverage and optimize NAND flash solutions
 - Comparison with Ext4, Btrfs, Nilfs2, etc



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Centralized vs Distributed Systems





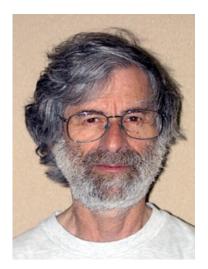
- Centralized System: System in which major functions are performed by a single physical computer
 - Originally, everything on single computer
 - Later: client/server model
- Distributed System: physically separate computers working together on some task
 - Early model: multiple servers working together
 - » Probably in the same room or building
 - » Often called a "cluster"
 - Later models: peer-to-peer/wide-spread collaboration

Distributed Systems: Motivation/Issues/Promise

- Why do we want distributed systems?
 - Cheaper and easier to build lots of simple computers
 - Easier to add power incrementally
 - Users can have complete control over some components
 - Collaboration: much easier for users to collaborate through network resources (such as network file systems)
- The *promise* of distributed systems:
 - Higher availability: one machine goes down, use another
 - Better durability: store data in multiple locations
 - More security: each piece easier to make secure

Distributed Systems: Reality

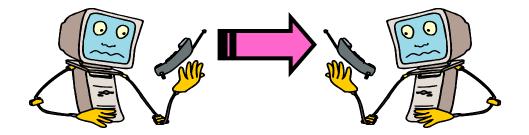
- Reality has been disappointing
 - Worse availability: depend on every machine being up
 - » Lamport: "A distributed system is one in which the failure of a computer you didn't even know existed can render your own computer unusable."
 - Worse reliability: can lose data if any machine crashes
 - Worse security: anyone in world can break into system
- Coordination is more difficult
 - Must coordinate multiple copies of shared state information (using only a network)
 - What would be easy in a centralized system becomes a lot more difficult
- Trust/Security/Privacy/Denial of Service
 - Many new variants of problems arise as a result of distribution
 - Can you trust the other members of a distributed application enough to even perform a protocol correctly?
 - Corollary of Lamport's quote: "A distributed system is one where you can't do work because some computer you didn't even know existed is successfully coordinating an attack on my system!"



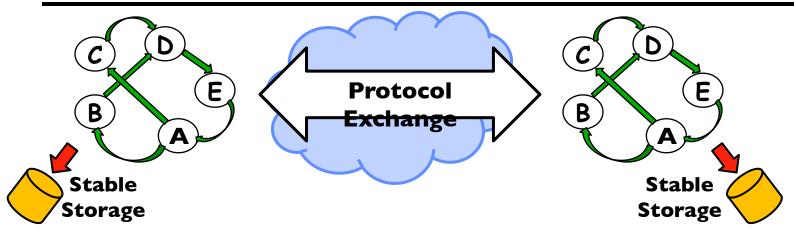
Leslie Lamport

Distributed Systems: Goals/Requirements

- Transparency: the ability of the system to mask its complexity behind a simple interface
- Possible transparencies:
 - Location: Can't tell where resources are located
 - Migration: Resources may move without the user knowing
 - Replication: Can't tell how many copies of resource exist
 - Concurrency: Can't tell how many users there are
 - Parallelism: System may speed up large jobs by splitting them into smaller pieces
 - Fault Tolerance: System may hide various things that go wrong
- Transparency and collaboration require some way for different processors to communicate with one another



How do entities communicate? A Protocol!



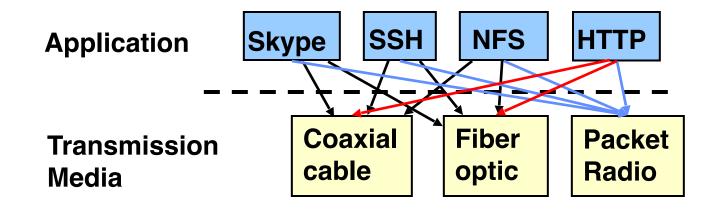
- A protocol is an agreement on how to communicate, including:
 - Syntax: how a communication is specified & structured
 - » Format, order messages are sent and received
 - Semantics: what a communication means
 - » Actions taken when transmitting, receiving, or when a timer expires
- Described formally by a state machine
 - Often represented as a message transaction diagram
 - Can be a partitioned state machine: two parties synchronizing duplicate substate machines between them
 - Stability in the face of failures!

Examples of Protocols in Human Interactions

Callee: "Hello?"

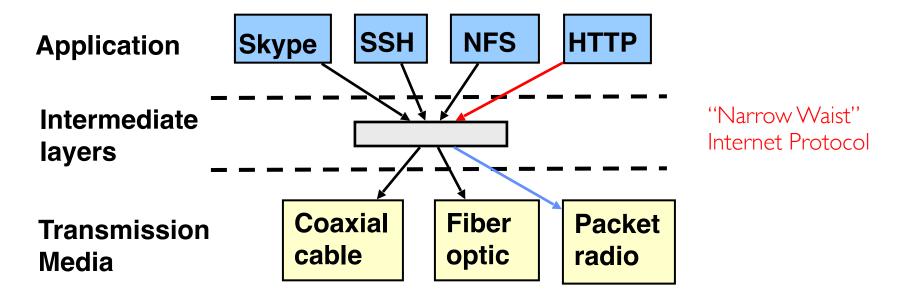
- Telephone
 - 1. (Pick up / open up the phone)
 - 2. Listen for a dial tone / see that you have service
 - 3. Dial
 - 4. Should hear ringing ...
 - 5.
 - Caller: "Hi, it's John...."
 Or: "Hi, it's me" (← what's *that* about?)
 - 7. Caller: "Hey, do you think ... blah blah blah ..." pause
 - Callee: "Yeah, blah blah blah ..." pause
 Caller: Bye
 Callee: Bye
 Hang up

Global Communication: The Problem



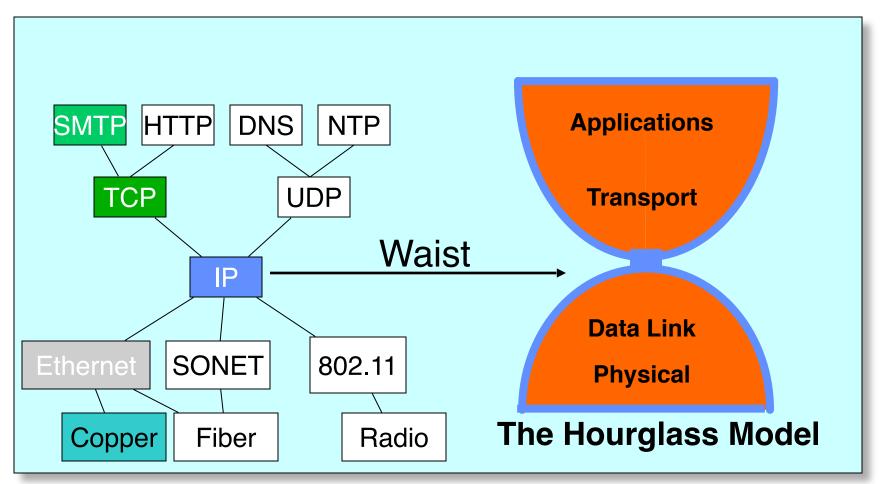
- Many different applications
 email, web, P2P, etc.
- Many different network styles and technologies
 Wireless vs. wired vs. optical, etc.
- How do we organize this mess?
 - Re-implement every application for every technology?
- No! But how does the Internet design avoid this?

Solution: Intermediate Layers



- Introduce intermediate layers that provide set of abstractions for various network functionality & technologies
 - A new app/media implemented only once
 - Variation on "add another level of indirection"
- Goal: Reliable communication channels on which to build distributed applications

The Internet Hourglass



There is just one network-layer protocol, IP.

The "narrow waist" facilitates interoperability.

Single Internet-layer module (IP):

- Allows arbitrary networks to interoperate
 - Any network technology that supports IP can exchange packets
- Allows applications to function on all networks
 Applications that can run on IP can use any network
- Supports simultaneous innovations above and below IP — But changing IP itself, i.e., IPv6, very involved

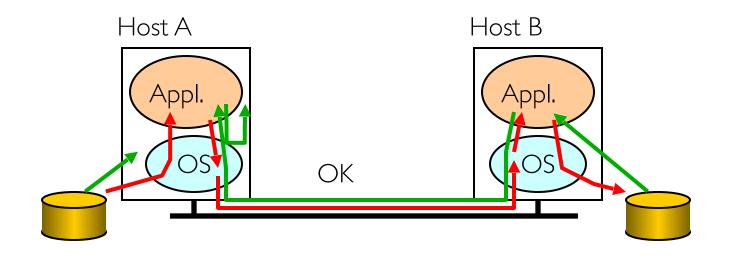
Drawbacks of Layering

- Layer N may duplicate layer N-1 functionality
 - E.g., error recovery to retransmit lost data
- Layers may need same information
 - E.g., timestamps, maximum transmission unit size
- Layering can hurt performance
 - E.g., hiding details about what is really going on
- Some layers are not always cleanly separated
 - Inter-layer dependencies for performance reasons
 - Some dependencies in standards (header checksums)
- Headers start to get really big
 - Sometimes header bytes >> actual content

End-To-End Argument

- Hugely influential paper: "End-to-End Arguments in System Design" by Saltzer, Reed, and Clark ('84)
- "Sacred Text" of the Internet
 - Endless disputes about what it means
 - Everyone cites it as supporting their position
- Simple Message: Some types of network functionality can only be correctly implemented end-to-end
 - Reliability, security, etc.
- Because of this, end hosts:
 - Can satisfy the requirement without network's help
 - Will/must do so, since can't *rely* on network's help
- Therefore don't go out of your way to implement them in the network

Example: Reliable File Transfer



- Solution I: make each step reliable, and then concatenate them
- Solution 2: end-to-end check and try again if necessary

Discussion

- Solution I is incomplete
 - What happens if memory is corrupted?
 - Receiver has to do the check anyway!
- Solution 2 is complete
 - Full functionality can be entirely implemented at application layer with no need for reliability from lower layers
- Is there any need to implement reliability at lower layers?
 Well, it could be more efficient

End-to-End Principle

Implementing complex functionality in the network:

- Doesn't reduce host implementation complexity
- Does increase network complexity
- Probably imposes delay and overhead on all applications, even if they don't need functionality
- However, implementing in network can enhance performance in some cases

– e.g., very lossy link

Conservative Interpretation of E2E

- Don't implement a function at the lower levels of the system unless it can be completely implemented at this level
- Or: Unless you can relieve the burden from hosts, don't bother

Moderate Interpretation

- Think twice before implementing functionality in the network
- If hosts can implement functionality correctly, implement it in a lower layer only as a performance enhancement
- But do so only if it does not impose burden on applications that do not require that functionality
- This is the interpretation we are using
- Is this still valid?
 - What about Denial of Service?
 - What about Privacy against Intrusion?
 - Perhaps there are things that must be in the network???

Distributed Applications

- How do you actually program a distributed application?
 - Need to synchronize multiple threads, running on different machines
 » No shared memory, so cannot use test&set



- One Abstraction: send/receive messages
 - » Already atomic: no receiver gets portion of a message and two receivers cannot get same message
- Interface:
 - Mailbox (mbox): temporary holding area for messages
 Nucludes both destination location and queue
 - » Includes both destination location and queue
 - Send (message, mbox)
 - » Send message to remote mailbox identified by mbox
 - Receive (buffer, mbox)
 - » Wait until mbox has message, copy into buffer, and return
 - » If threads sleeping on this mbox, wake up one of them

Using Messages: Send/Receive behavior

- When should send (message, mbox) return?
 - When receiver gets message? (i.e. ack received)
 - When message is safely buffered on destination?
 - Right away, if message is buffered on source node?
- Actually two questions here:
 - When can the sender be sure that receiver actually received the message?
 - When can sender reuse the memory containing message?
- Mailbox provides I-way communication from $TI \rightarrow T2$
 - TI→buffer→T2
 - Very similar to producer/consumer
 - \gg Send = V, Receive = P
 - » However, can't tell if sender/receiver is local or not!

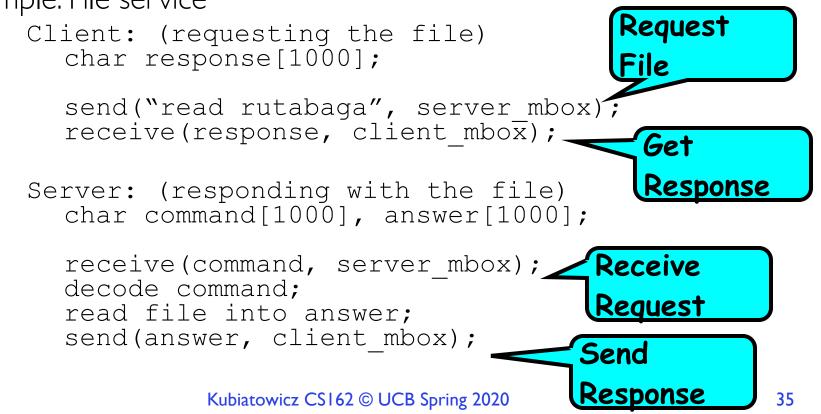
Messaging for Producer-Consumer Style

Using send/receive for producer-consumer style:

- No need for producer/consumer to keep track of space in mailbox: handled by send/receive
 - Next time: will discuss fact that this is one of the roles the window in TCP: window is size of buffer on far end
 - Restricts sender to forward only what will fit in buffer

Messaging for Request/Response communication

- What about two-way communication?
 - Request/Response
 - » Read a file stored on a remote machine
 - » Request a web page from a remote web server
 - Also called: client-server
 - » Client = requester, Server = responder
 - » Server provides "service" (file storage) to the client
- Example: File service

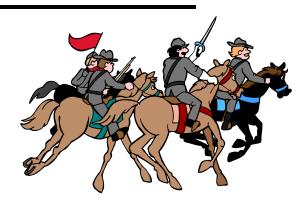


Distributed Consensus Making

- Consensus problem
 - All nodes propose a value
 - Some nodes might crash and stop responding
 - Eventually, all remaining nodes decide on the same value from set of proposed values
- Distributed Decision Making
 - Choose between "true" and "false"
 - Or Choose between "commit" and "abort"
- Equally important (but often forgotten!): make it durable!
 - How do we make sure that decisions cannot be forgotten?
 - » This is the "D" of "ACID" in a regular database
 - In a global-scale system?
 - » What about erasure coding or massive replication?
 - » Like **BlockChain** applications!

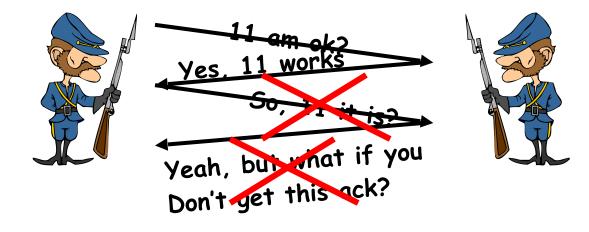
General's Paradox

- General's paradox:
 - Constraints of problem:
 - » Two generals, on separate mountains
 - » Can only communicate via messengers
 - » Messengers can be captured
 - Problem: need to coordinate attack
 - » If they attack at different times, they all die
 - » If they attack at same time, they win
 - Named after Custer, who died at Little Big Horn because he arrived a couple of days too early



General's Paradox (con't)

- Can messages over an unreliable network be used to guarantee two entities do something simultaneously?
 - Remarkably, "no", even if all messages get through



– No way to be sure last message gets through!

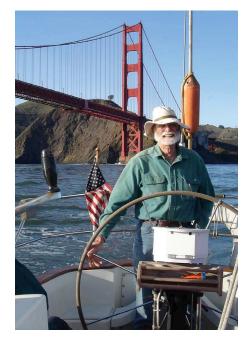
- In real life, use radio for simultaneous (out of band) communication
- So, clearly, we need something other than simultaneity!

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Two-Phase Commit

- Since we can't solve the General's Paradox (i.e. simultaneous action), let's solve a related problem
- Distributed transaction: Two or more machines agree to do something, or not do it, atomically
 - No constraints on time, just that it will eventually happen!
- Two-Phase Commit protocol: Developed by Turing award winner Jim Gray

 (first Berkeley CS PhD, 1969)
 - Many important DataBase breakthroughs also from Jim Gray



Jim Gray

2PC Algorithm

- One coordinator
- N workers (replicas)
- High level algorithm description:
 - Coordinator asks all workers if they can commit
 - If all workers reply "VOTE-COMMIT", then coordinator broadcasts "GLOBAL-COMMIT"
 - Otherwise coordinator broadcasts "GLOBAL-ABORT"
 - Workers obey the **GLOBAL** messages
- Use a persistent, stable log on each machine to keep track of what you are doing
 - If a machine crashes, when it wakes up it first checks its log to recover state of world at time of crash

Two-Phase Commit: Setup

- One machine (coordinator) initiates the protocol
- It asks every machine to vote on transaction
- Two possible votes:
 - Commit
 - Abort
- Commit transaction only if unanimous approval

Two-Phase Commit: Preparing

Agree to Commit

- Machine has guaranteed that it will accept transaction
- Must be recorded in log so machine will remember this decision if it fails and restarts

Agree to Abort

- Machine has guaranteed that it will never accept this transaction
- Must be recorded in log so machine will remember this decision if it fails and restarts

Two-Phase Commit: Finishing

Commit Transaction

- Coordinator learns all machines have agreed to commit
- Record decision to commit in local log
- Apply transaction, inform voters

Abort Transaction

- Coordinator learns at least on machine has voted to abort
- Record decision to abort in local log
- Do not apply transaction, inform voters

Two-Phase Commit: Finishing

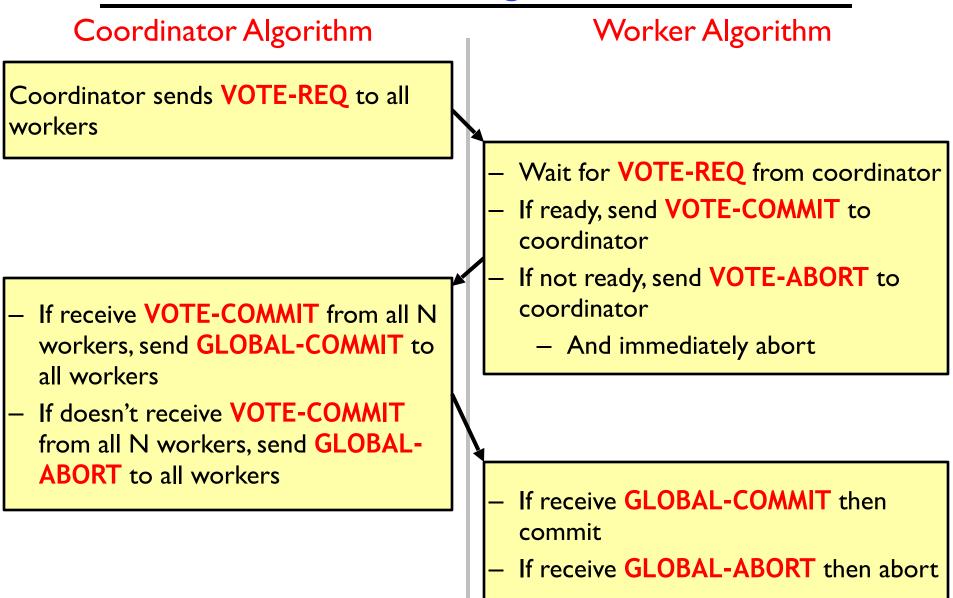
Commit Transaction

- Coordinator learns all machines have agreed to commit
- Record decision to commit in local log
- Apply transaction, inform voters

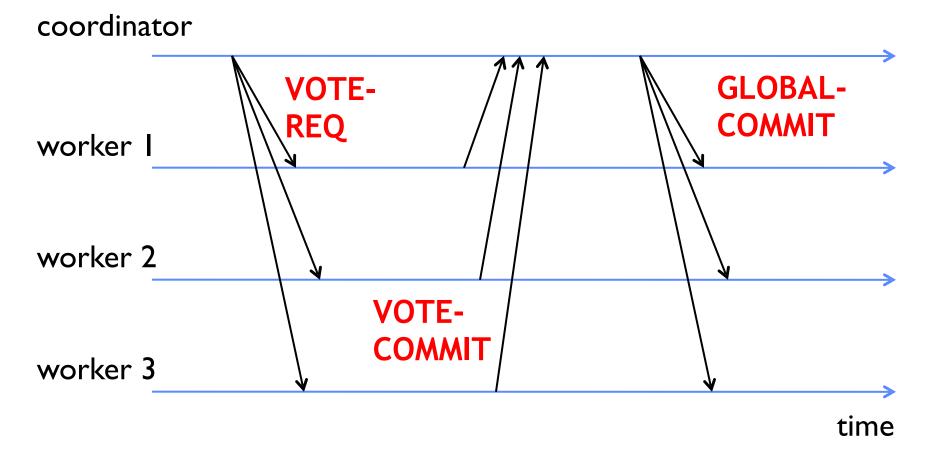
Abort Transaction

- votento abor votento abor isto appende voterole mappende poch nese ville poch Coordinator learns at least on machin
- Record decision to abort in loc
- Do not apply transaction

Detailed Algorithm



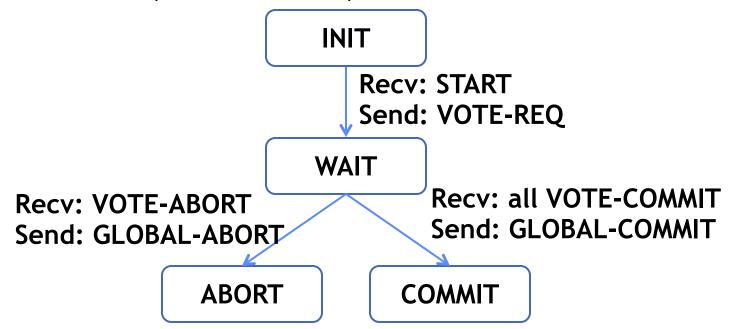
Failure Free Example Execution



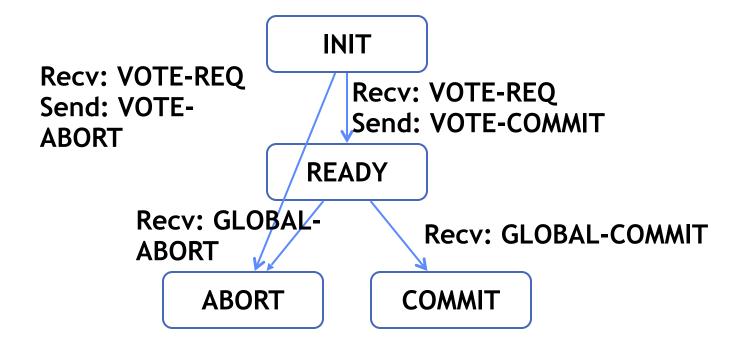
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State Machine of Coordinator

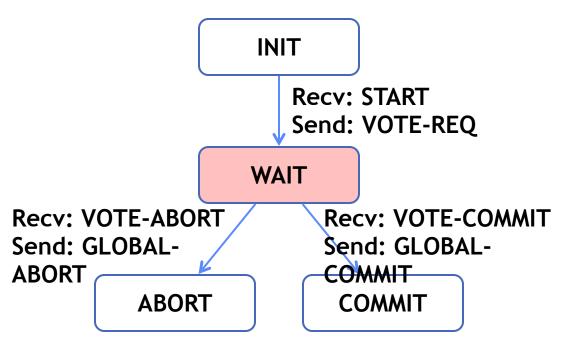
• Coordinator implements simple state machine:



State Machine of Workers

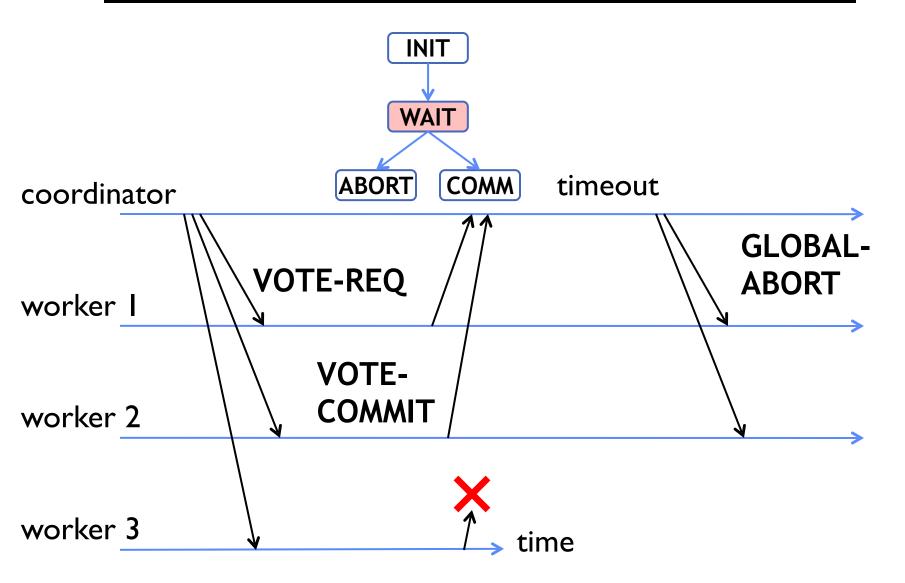


Dealing with Worker Failures

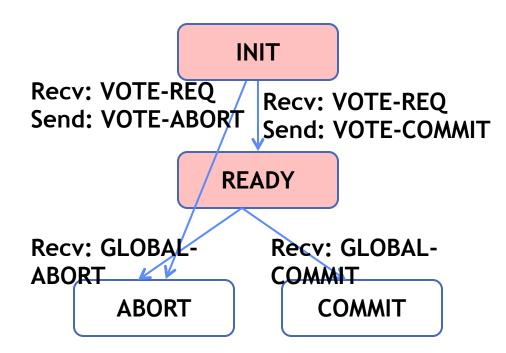


- Failure only affects states in which the coordinator is waiting for messages
- Coordinator only waits for votes in "WAIT" state
- In WAIT, if doesn't receive N votes, it times out and sends GLOBAL-ABORT

Example of Worker Failure

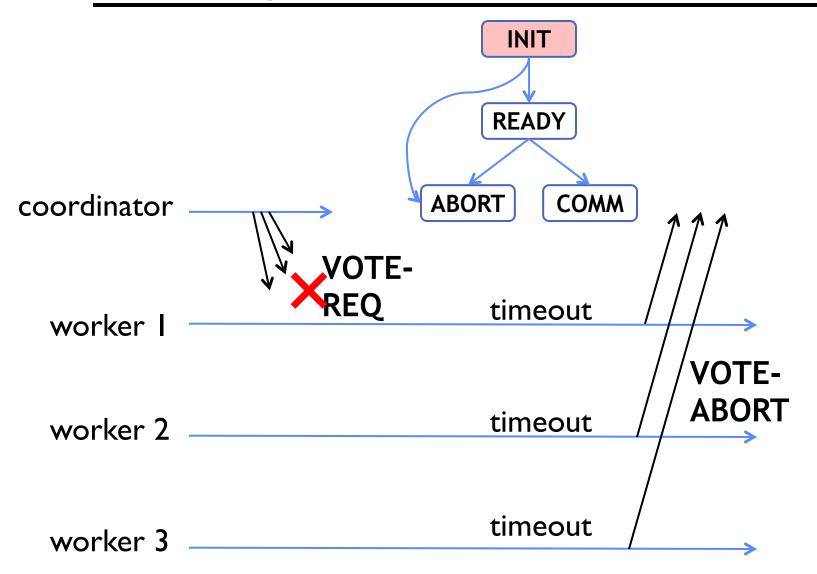


Dealing with Coordinator Failure



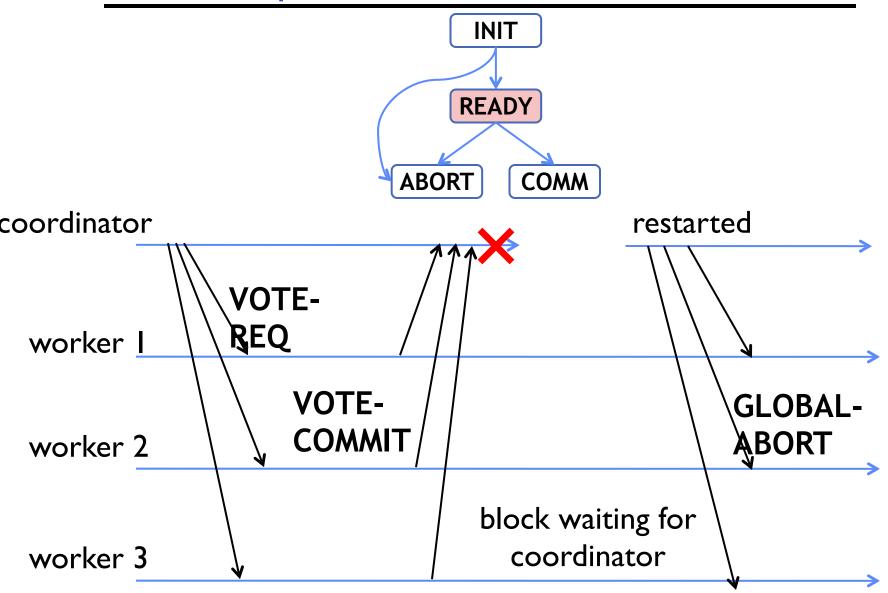
- Worker waits for VOTE-REQ in INIT
 - Worker can time out and abort (coordinator handles it)
- Worker waits for **GLOBAL-*** message in **READY**
 - If coordinator fails, workers must **BLOCK** waiting for coordinator to recover and send **GLOBAL_*** message

Example of Coordinator Failure #1



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Example of Coordinator Failure #2

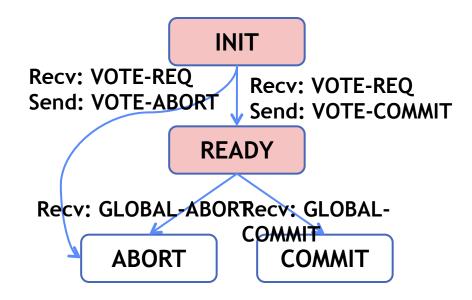


Durability

- All nodes use stable storage to store current state
 - stable storage is non-volatile storage (e.g. backed by disk) that guarantees atomic writes.
 - E.g.: SSD, NVRAM
- Upon recovery, it can restore state and resume:
 - Coordinator aborts in INIT, WAIT, or ABORT
 - Coordinator commits in COMMIT
 - Worker aborts in INIT, ABORT
 - Worker commits in COMMIT
 - Worker "asks" Coordinator in **READY**

Blocking for Coordinator to Recover

- A worker waiting for global decision can ask fellow workers about their state
 - If another worker is in ABORT or COMMIT state then coordinator must have sent GLOBAL-*
 - » Thus, worker can safely abort or commit, respectively
 - If another worker is still in INIT state then both workers can decide to abort



 If all workers are in ready, need to BLOCK (don't know if coordinator wanted to abort or commit)

Distributed Decision Making Discussion (1/2)

- Why is distributed decision making desirable?
 - Fault Tolerance!
 - A group of machines can come to a decision even if one or more of them fail during the process
 - » Simple failure mode called "failstop" (different modes later)
 - After decision made, result recorded in multiple places

Distributed Decision Making Discussion (2/2)

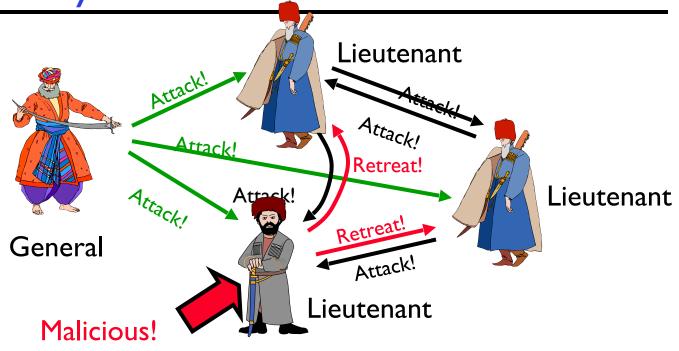
- Undesirable feature of Two-Phase Commit: Blocking
 - One machine can be stalled until another site recovers:
 - » Site B writes "prepared to commit" record to its log, sends a "yes" vote to the coordinator (site A) and crashes
 - » Site A crashes
 - » Site B wakes up, check its log, and realizes that it has voted "yes" on the update. It sends a message to site A asking what happened. At this point, B cannot decide to abort, because update may have committed
 - » B is blocked until A comes back
 - A blocked site holds resources (locks on updated items, pages pinned in memory, etc) until learns fate of update

Alternatives to 2PC

- Three-Phase Commit: One more phase, allows nodes to fail or block and still make progress.
- PAXOS: An alternative used by Google and others that does not have 2PC blocking problem
 - Develop by Leslie Lamport (Turing Award Winner)
 - No fixed leader, can choose new leader on fly, deal with failure
 - Some think this is extremely complex!
- RAFT: PAXOS alternative from John Osterhout (Stanford)

 Simpler to describe complete protocol
- What happens if one or more of the nodes is malicious?
 <u>Malicious</u>: attempting to compromise the decision making

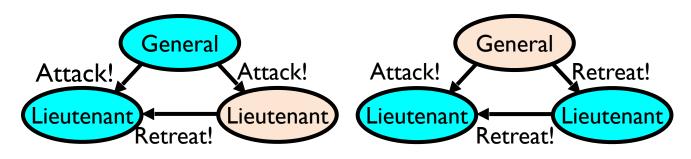
Byzantine General's Problem



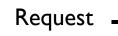
- Byazantine General's Problem (n players):
 - One General and n-1 Lieutenants
 - Some number of these (f) can be insane or malicious
- The commanding general must send an order to his n-1 lieutenants such that the following Integrity Constraints apply:
 - ICI: All loyal lieutenants obey the same order
 - IC2: If the commanding general is loyal, then all loyal lieutenants obey the order he sends

Byzantine General's Problem (con't)

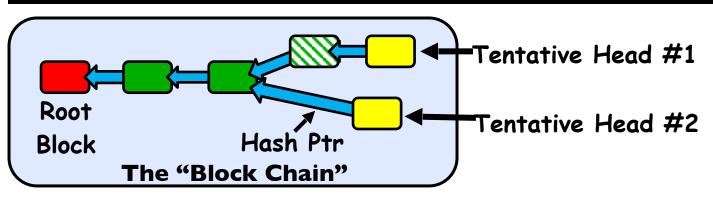
- Impossibility Results:
 - Cannot solve Byzantine General's Problem with n=3 because one malicious player can mess up things



- With f faults, need n > 3f to solve problem
- Various algorithms exist to solve problem
 - Original algorithm has #messages exponential in n
 - Newer algorithms have message complexity O(n2)
 - » One from MIT, for instance (Castro and Liskov, 1999)
- Use of BFT (Byzantine Fault Tolerance) algorithm
 - Allow multiple machines to make a coordinated decision even if some subset of them (< n/3) are malicious



Is a BlockChain a Distributed Decision Making Algorithm?

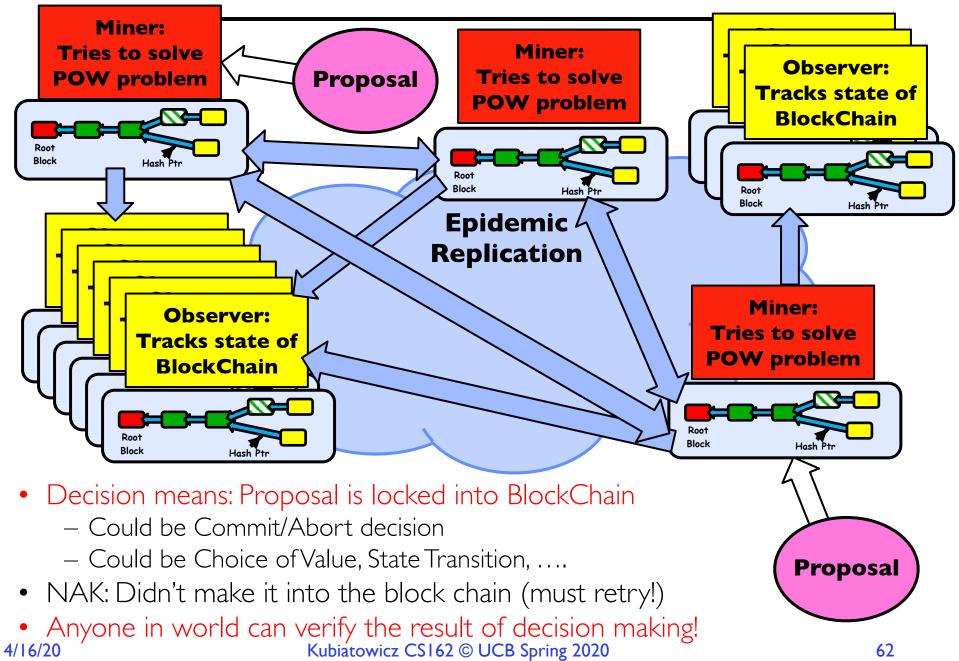


- BlockChain: a chain of blocks connected by hashes to root block
 - The Hash Pointers are unforgeable (assumption)
 - The Chain has no branches except perhaps for heads
 - Blocks are considered "authentic" part of chain when they have authenticity info in them
- How is the head chosen?
 - Some consensus algorithm
 - In many BlockChain algorithms (e.g. BitCoin, Ethereum), the head is chosen by solving hard problem
 - » This is the job of "miners" who try to find "nonce" info that makes hash over block have specified number of zero bits in it
 - » The result is a "Proof of Work" (POW)
 - » Selected blocks above (green) have POW in them and can be included in chains

Longest chain wins

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Is a Blockchain a Distributed Decision Making Algorithm? (Con't)



Summary (1/2)

- Protocol: Agreement between two parties as to how information is to be transmitted
- E2E argument encourages us to keep Internet communication simple
 - If higher layer can implement functionality correctly, implement it in a lower layer only if:
 - » it improves the performance significantly for application that need that functionality, and
 - » it does not impose burden on applications that do not require that functionality
- Two-phase commit: distributed decision making
 - First, make sure everyone guarantees that they will commit if asked (prepare)
 - Next, ask everyone to commit

Summary (2/2)

- Byzantine General's Problem: distributed decision making with malicious failures
 - One general, n-1 lieutenants: some number of them may be malicious (often "f" of them)
 - All non-malicious lieutenants must come to same decision
 - If general not malicious, lieutenants must follow general
 - Only solvable if n ≥ 3f+1
- BlockChain protocols
 - Could be used for distributed decision making