



40-414 Compiler Design

Introduction to Parsing

Lecture 4

Languages and Automata

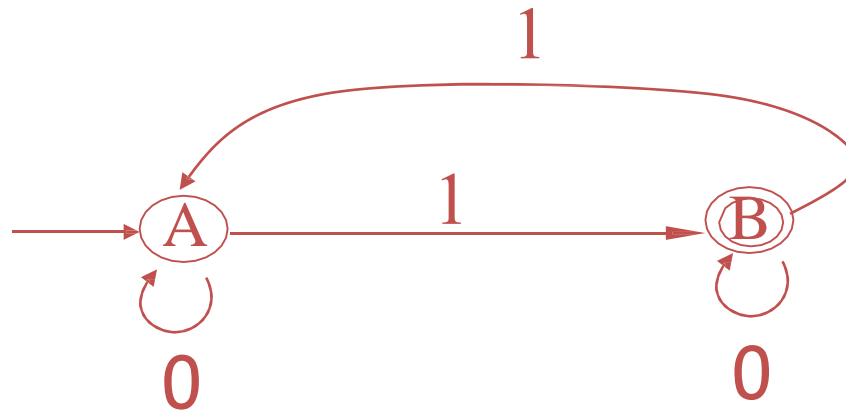
- Formal languages are very important in CS
 - Especially in programming languages
- Regular languages
 - The weakest formal languages widely used
 - Many applications
- We will also study context-free languages

Beyond Regular Languages

- Many languages are not regular
- Strings of balanced parentheses are not regular:

$$\{()^i \mid i \geq 0\}$$

What Can Regular Languages Express?



What Can Regular Languages Express?

- Languages requiring counting modulo a fixed integer
- Intuition: A finite automaton that runs long enough must repeat states
- Finite automaton can't remember # of times it has visited a particular state

The Functionality of the Parser

- **Input:** sequence of tokens from lexer
- **Output:** parse tree of the program
(But some parsers never produce a parse tree . . .)

Example

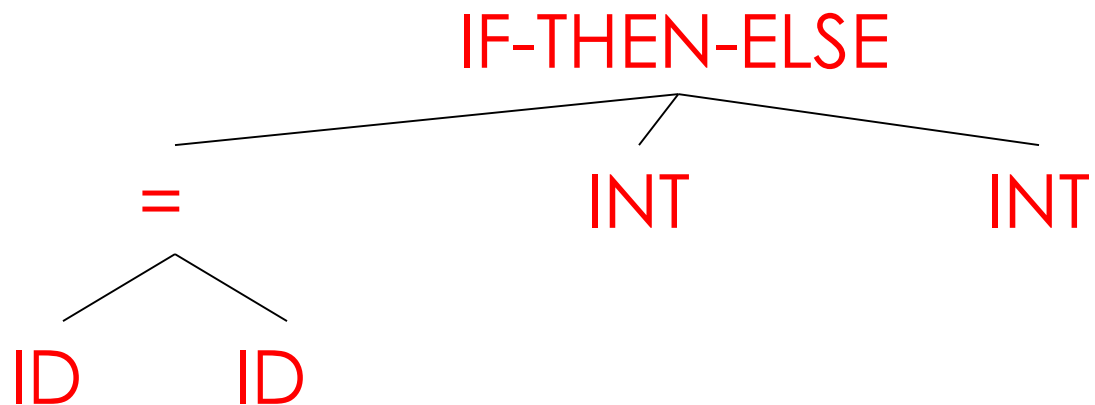
- Cool

if x = y then 1 else 2 fi

- Parser input

IF ID = ID THEN INT ELSE INT FI

- Parser output



Comparison with Lexical Analysis

<i>Phase</i>	<i>Input</i>	<i>Output</i>
Lexer	String of characters	String of tokens
Parser	String of tokens	Parse tree (may be implicit)

The Role of the Parser

- Not all strings of tokens are programs . . .
- . . . parser must distinguish between valid and invalid strings of tokens
- We need
 - A language for describing valid strings of tokens
 - A method for distinguishing valid from invalid strings of tokens

Chomsky Hierarchy

0 Unrestricted

$$\alpha A \beta \rightarrow \alpha \gamma \beta$$

1 Context-Sensitive

$$|LHS| \leq |RHS|$$

2 Context-Free

$$|LHS| = 1$$

3 Regular

$$|RHS| = 1 \text{ or } 2, \\ A \rightarrow a \mid aB, \text{ or} \\ A \rightarrow a \mid Ba$$

Context-Free Grammars

- Programming language constructs have recursive structure
- An **STMT** is
 - if **EXPR** then **STMT** else **STMT**
 - while **EXPR** do **STMT** end
 - ...
- Context-free grammars are a natural notation for this recursive structure

CFGs (Cont.)

- A CFG consists of
 - A set of terminals T
 - A set of non-terminals N
 - A start symbol S (a non-terminal)
 - A set of productions

$$X \rightarrow Y_1 Y_2 \dots Y_n$$

where $X \in N$ and $Y_i \in T \cup N \cup \{\varepsilon\}$

Notational Conventions

- In these lecture notes
 - Non-terminals are written upper-case
 - Terminals are written lower-case
 - The start symbol is the left-hand side of the first production

Example of CFGs

Simple arithmetic expressions:

$$\begin{array}{l} E \rightarrow E * E \\ | E + E \\ | (E) \\ | id \end{array}$$

The Language of a CFG

Read productions as replacement rules:

$$X \rightarrow Y_1 \dots Y_n$$

Means X can be replaced by $Y_1 \dots Y_n$

Key Idea

1. Begin with a string consisting of the start symbol "S"
2. Replace any non-terminal X in the string by a the right-hand side of some production

$$X \rightarrow Y_1 \dots Y_n$$

3. Repeat (2) until there are no non-terminals in the string

The Language of a CFG (Cont.)

More formally, write

$$X_1 \dots X_{i-1} X_i X_{i+1} \dots X_n \rightarrow X_1 \dots X_{i-1} Y_1 \dots Y_m X_{i+1} \dots X_n$$

if there is a production

$$X_i \rightarrow Y_1 \dots Y_m$$

The Language of a CFG (Cont.)

Write

$$X_1 \dots X_n \rightarrow^* Y_1 \dots Y_m$$

if

$$X_1 \dots X_n \rightarrow \dots \rightarrow \dots \rightarrow Y_1 \dots Y_m$$

in 0 or more steps

The Language of a CFG

Let G be a context-free grammar with start symbol S .
Then the language $L(G)$ of G is:

$$\{a_1 \dots a_n \mid S \rightarrow^* a_1 \dots a_n \text{ and every } a_i \text{ is a terminal}\}$$

The sentential forms $SF(G)$ of G is:

$$\{X_1 \dots X_n \mid S \rightarrow^* X_1 \dots X_n \text{ and every } X_i \text{ is a terminal or non-terminal}\}$$

Therefore: $L(G) \subset SF(G)$

Terminals

- Terminals are so-called because there are no rules for replacing them
- Once generated, terminals are permanent
- Terminals ought to be tokens of the language

Examples

$L(G)$ is the language of CFG G

Strings of balanced parentheses $\{()^i \mid i \geq 0\}$

Two grammars:

$$S \rightarrow (S)$$

$$S \rightarrow \varepsilon$$

OR

$$S \rightarrow (S)$$

$$S \rightarrow \varepsilon$$

Arithmetic Example

Simple arithmetic expressions:

$$E \rightarrow E + E \mid E * E \mid (E) \mid \text{id}$$

Some elements of the language:

id		id + id
(id)		id * id
(id) * id		id * (id)

Notes

The idea of a CFG is a big step. But:

- Membership in a language is "yes" or "no"; also need parse tree of the input
- Must handle errors gracefully
- Need an implementation of CFG's (e.g., bison)

Derivations and Parse Trees

A *derivation* is a sequence of productions

$$S \rightarrow \dots \rightarrow \dots \rightarrow \dots \rightarrow \dots \rightarrow \dots$$

A derivation can be drawn as a tree

- Start symbol is the tree's root
- For a production $X \rightarrow Y_1 \dots Y_n$ add children $Y_1 \dots Y_n$ to node X

Derivation Example

- Grammar

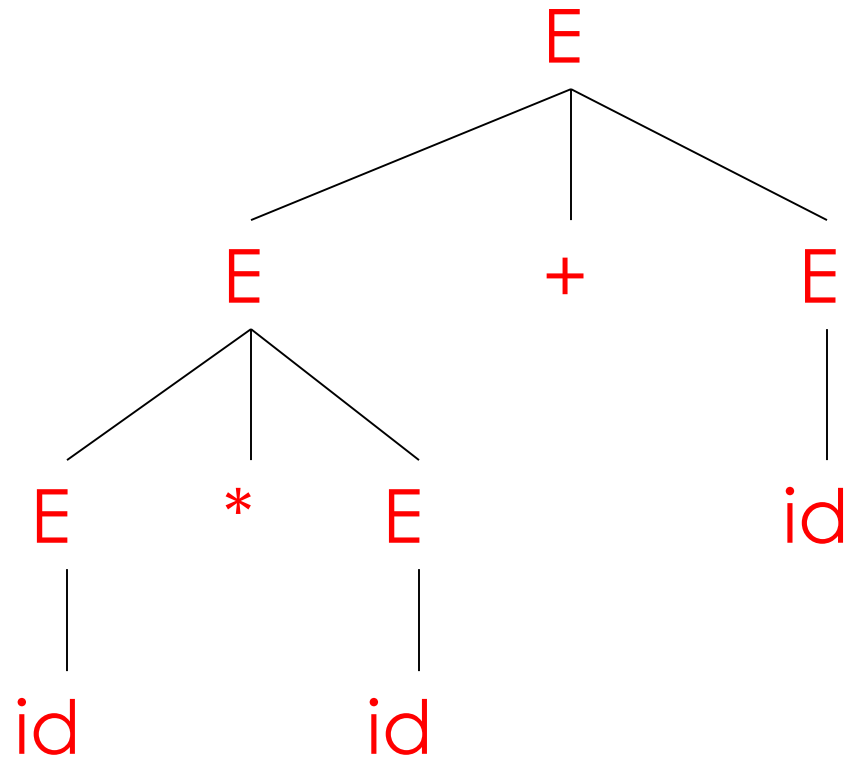
$$E \rightarrow E + E \mid E * E \mid (E) \mid id$$

- String

$$id * id + id$$

Derivation Example (Cont.)

E
 $\rightarrow E + E$
 $\rightarrow E * E + E$
 $\rightarrow id * E + E$
 $\rightarrow id * id + E$
 $\rightarrow id * id + id$



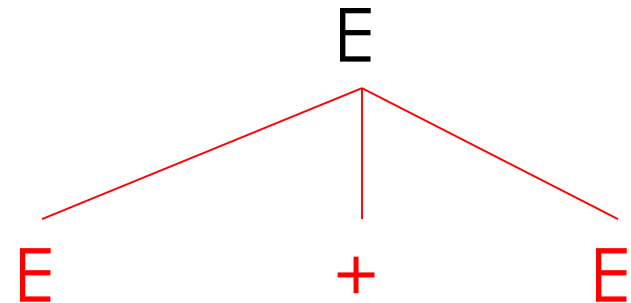
Derivation in Detail (1)

E

E

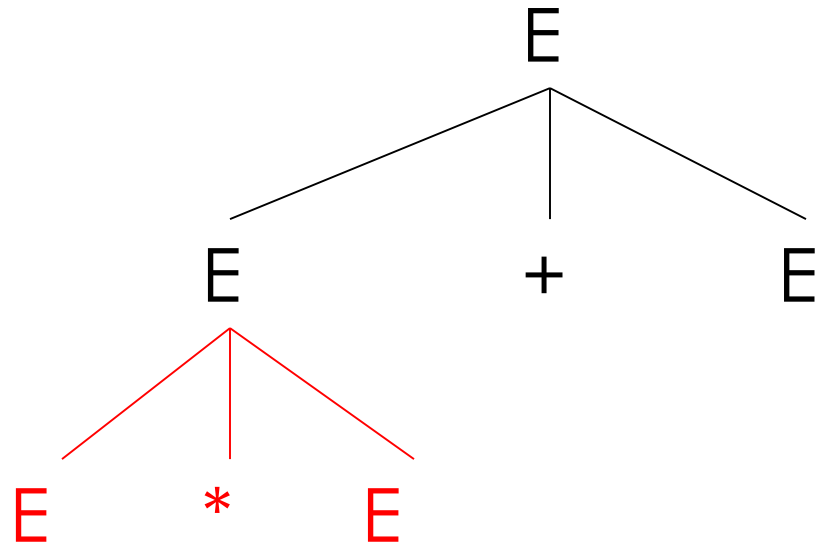
Derivation in Detail (2)

E
 $\rightarrow E+E$



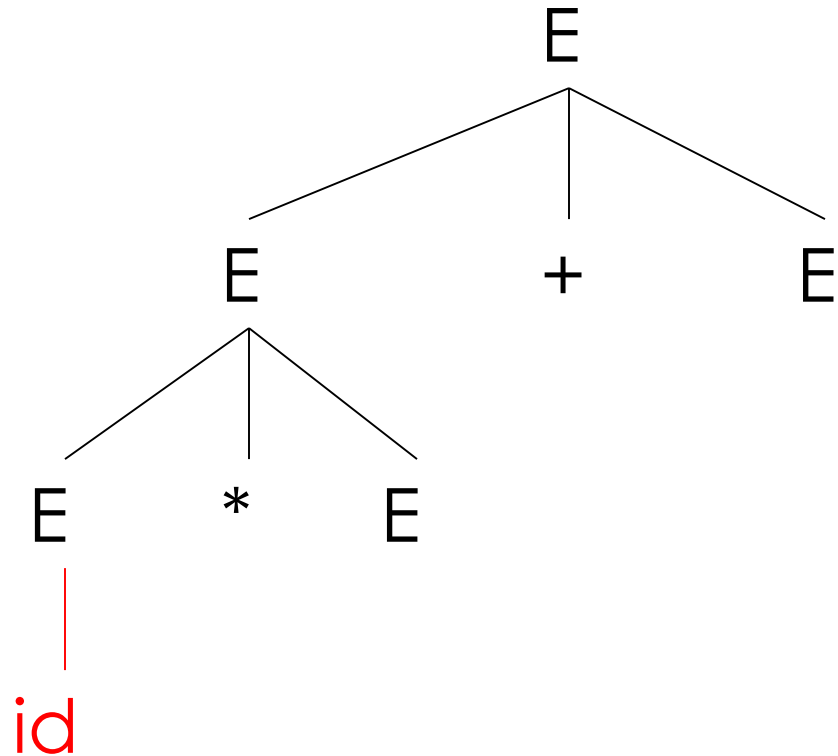
Derivation in Detail (3)

E
 $\rightarrow E + E$
 $\rightarrow E * E + E$



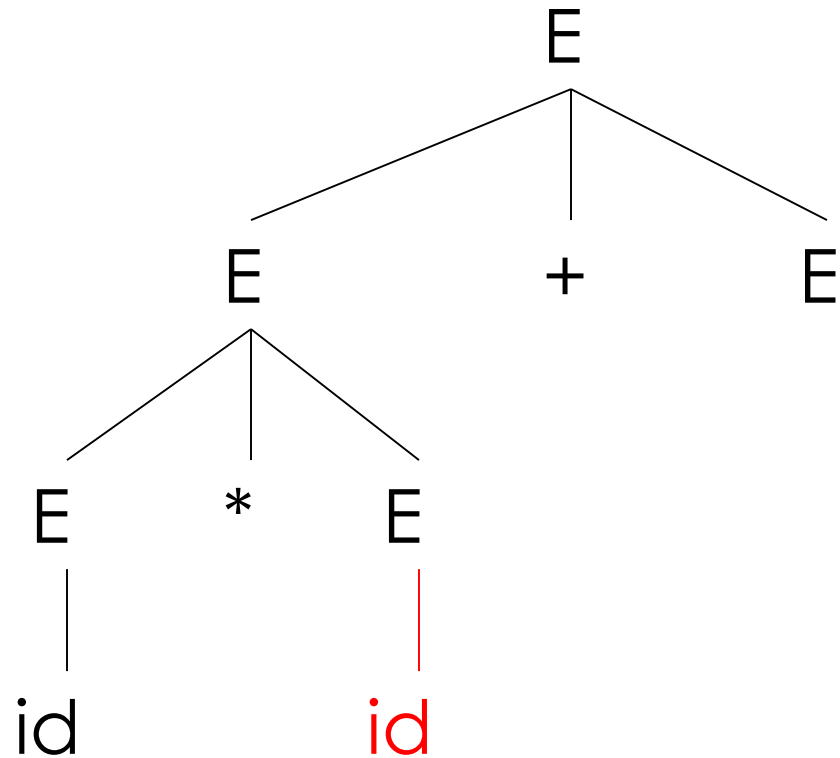
Derivation in Detail (4)

E
 $\rightarrow E + E$
 $\rightarrow E * E + E$
 $\rightarrow id * E + E$



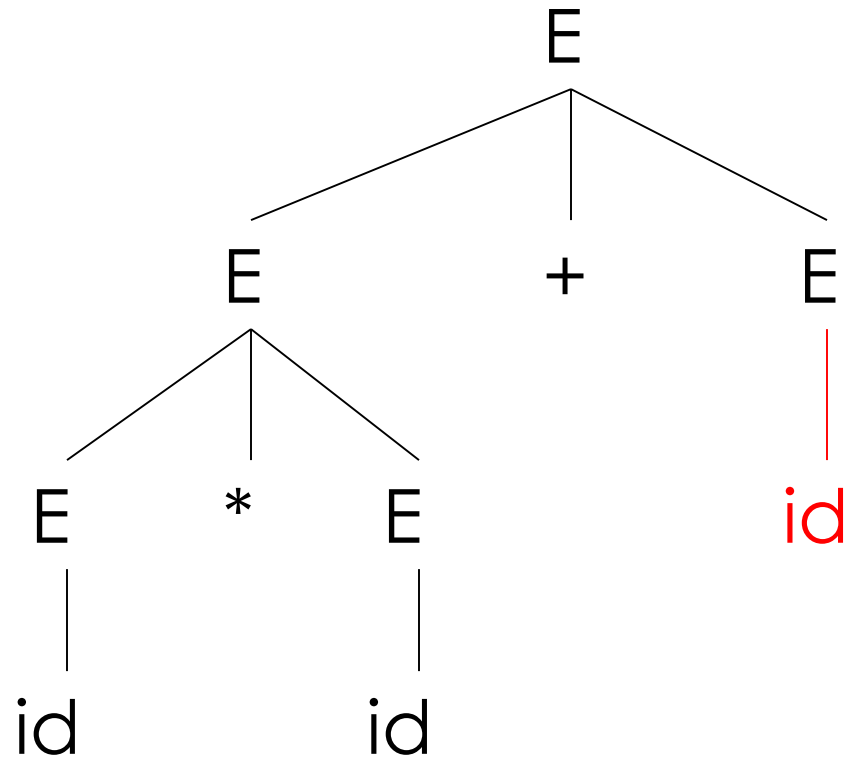
Derivation in Detail (5)

E
 $\rightarrow E + E$
 $\rightarrow E * E + E$
 $\rightarrow id * E + E$
 $\rightarrow id * id + E$



Derivation in Detail (6)

E
 $\rightarrow E + E$
 $\rightarrow E * E + E$
 $\rightarrow id * E + E$
 $\rightarrow id * id + E$
 $\rightarrow id * id + id$



Notes on Derivations

- A parse tree has
 - Terminals at the leaves
 - Non-terminals at the interior nodes
- An in-order traversal of the leaves is the original input
- The parse tree shows the association of operations, the input string does not

Left-most and Right-most Derivations

- The example was a *left-most* derivation
 - At each step, replaced the left-most non-terminal

E
 $\rightarrow E + E$
 $\rightarrow E + id$
 $\rightarrow E * E + id$
 $\rightarrow E * id + id$
 $\rightarrow id * id + id$

- There is an equivalent notion of a *right-most* derivation

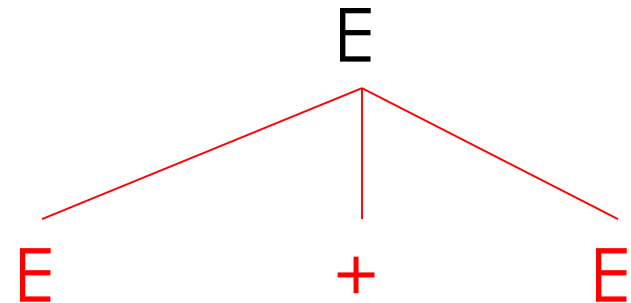
Right-most Derivation in Detail (1)

E

E

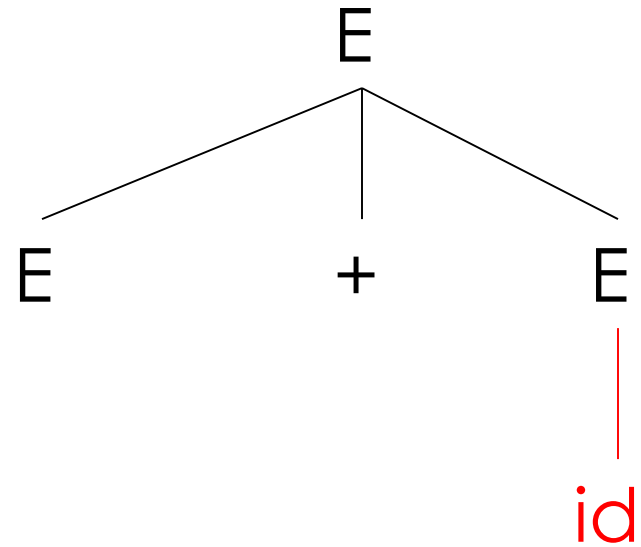
Right-most Derivation in Detail (2)

E
 $\rightarrow E+E$



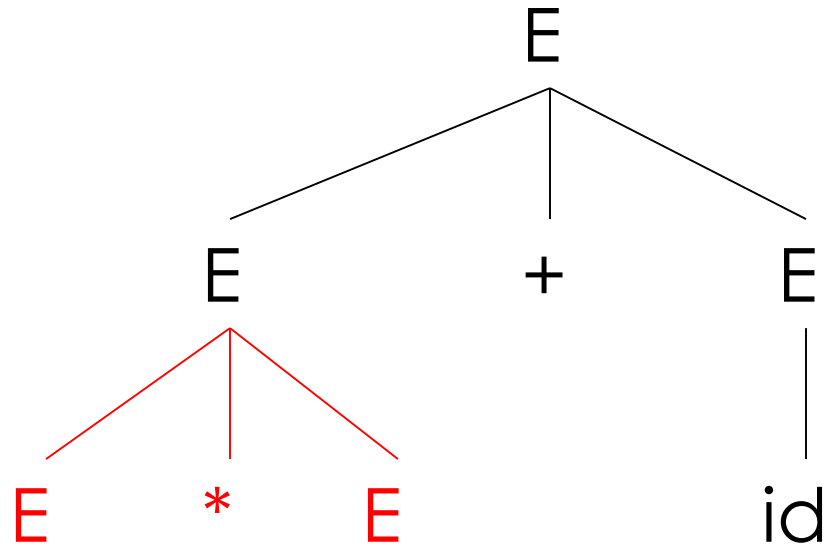
Right-most Derivation in Detail (3)

E
 $\rightarrow E+E$
 $\rightarrow E+id$



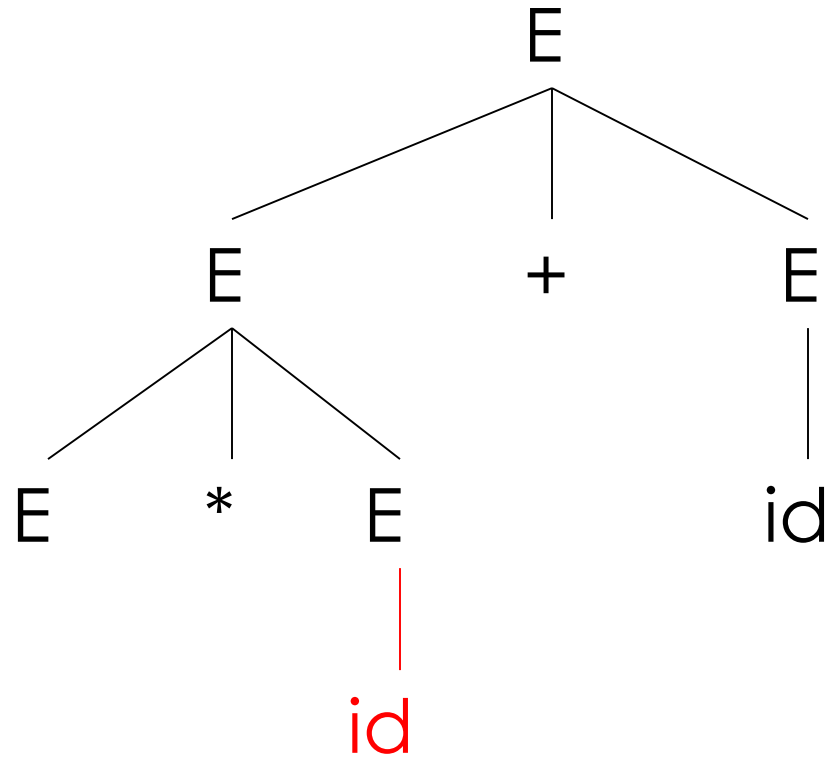
Right-most Derivation in Detail (4)

E
 $\rightarrow E + E$
 $\rightarrow E + id$
 $\rightarrow E * E + id$



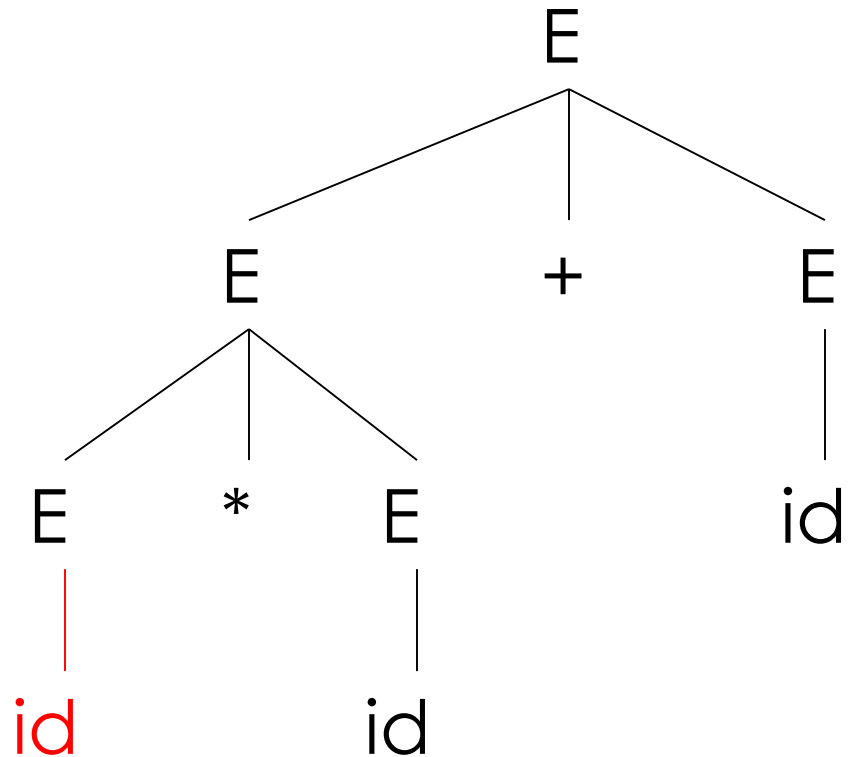
Right-most Derivation in Detail (5)

E
 $\rightarrow E + E$
 $\rightarrow E + id$
 $\rightarrow E * E + id$
 $\rightarrow E * id + id$



Right-most Derivation in Detail (6)

E
 $\rightarrow E + E$
 $\rightarrow E + id$
 $\rightarrow E * E + id$
 $\rightarrow E * id + id$
 $\rightarrow id * id + id$



Derivations and Parse Trees

- Note that right-most and left-most derivations have the same parse tree
- The difference is the order in which branches are added

Summary of Derivations

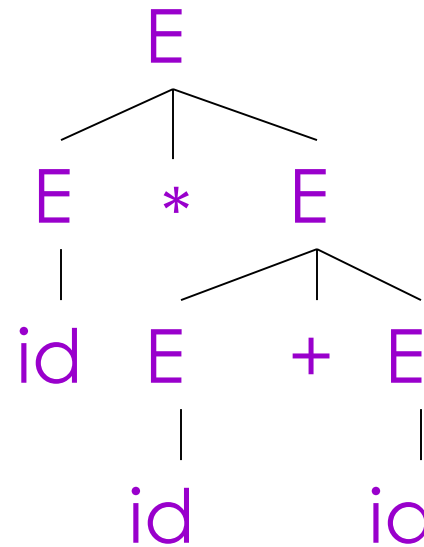
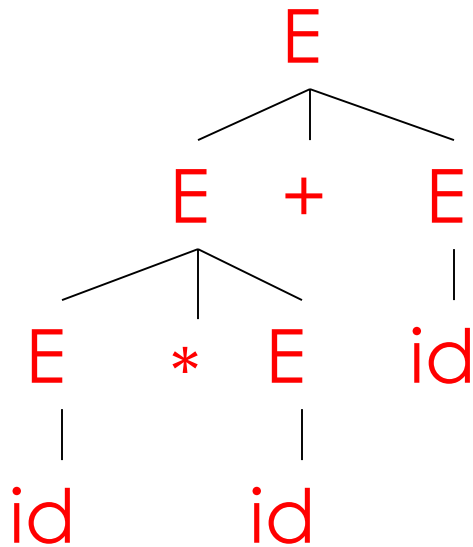
- We are not just interested in whether $s \in L(G)$
 - We need a parse tree for s
- A derivation defines a parse tree
 - But one parse tree may have many derivations
- Left-most and right-most derivations are important in parser implementation

Ambiguity

- Grammar $E \rightarrow E + E \mid E * E \mid (E) \mid id$
- String $id * id + id$

Ambiguity (Cont.)

This string has two parse trees



Ambiguity (Cont.)

- A grammar is *ambiguous* if it has more than one parse tree for some string
 - Equivalently, there is more than one right-most or left-most derivation for some string
- Ambiguity is **BAD**
 - Leaves meaning of some programs ill-defined

Dealing with Ambiguity

- There are several ways to handle ambiguity
- Most direct method is to rewrite grammar unambiguously

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid \text{id} \end{aligned}$$

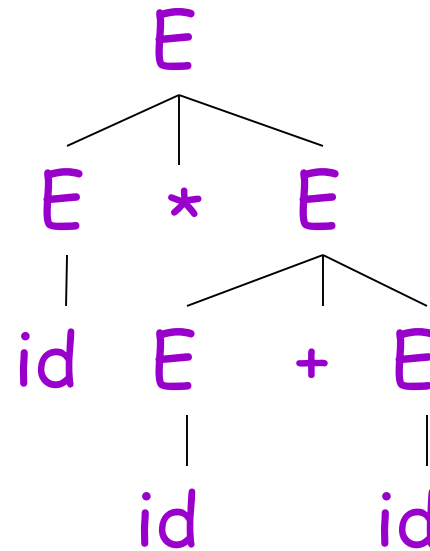
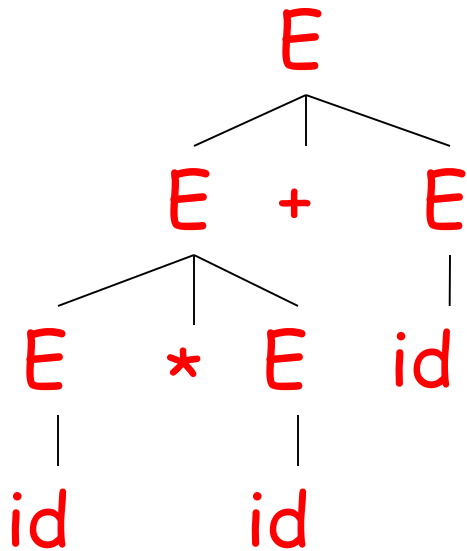
- Enforces precedence of $*$ over $+$

Ambiguity in Arithmetic Expressions

- Recall the grammar

$$E \rightarrow E + E \mid E * E \mid (E) \mid id$$

- The string $id * id + id$ has two parse trees:

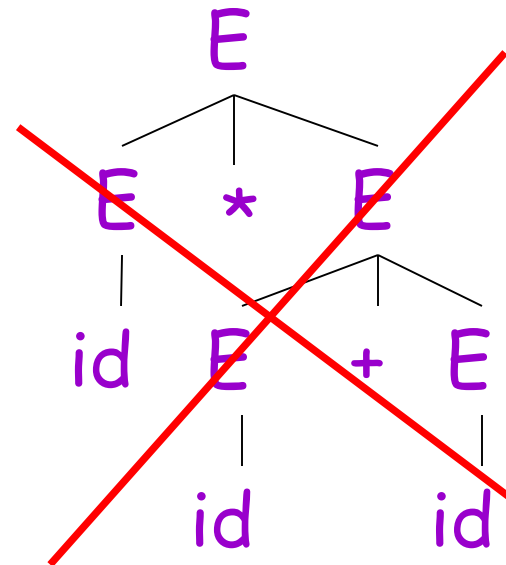
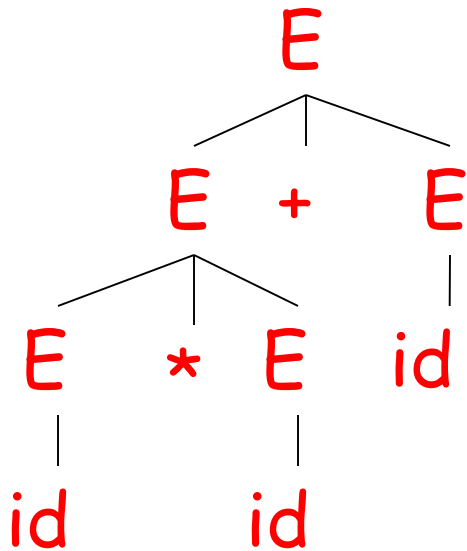


Ambiguity in Arithmetic Expressions

- Recall the grammar

$$E \rightarrow E + E \mid E * E \mid (E) \mid id$$

- The string $id * id + id$ has two parse trees:



Ambiguity: The Dangling Else

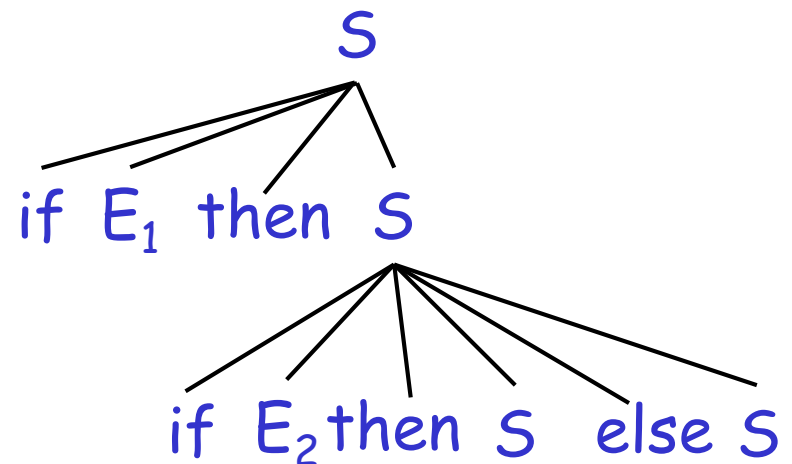
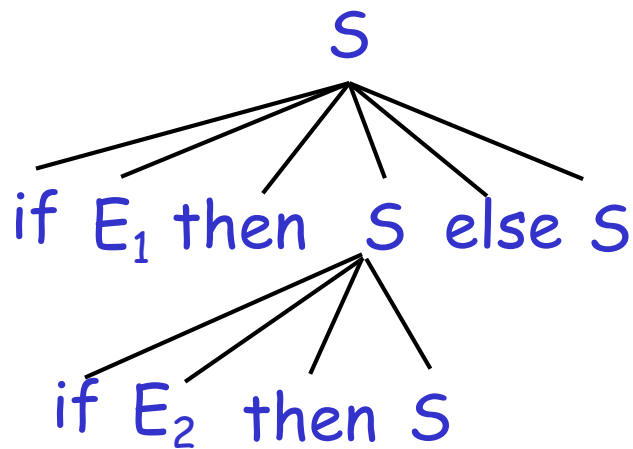
- Consider the grammar
$$S \rightarrow \text{if } E \text{ then } S$$
$$| \text{if } E \text{ then } S \text{ else } S$$
$$| \text{OTHER}$$
- This grammar is also ambiguous

The Dangling Else: Example

- The expression

if E_1 then if E_2 then S else S

has two parse trees



- Typically we want the second form

The Dangling Else: A Fix

- `else` matches the closest unmatched `then`
- We can describe this in the grammar

$S \rightarrow$ MIF /* all `then` are matched */
 | UIF /* some `then` is unmatched */

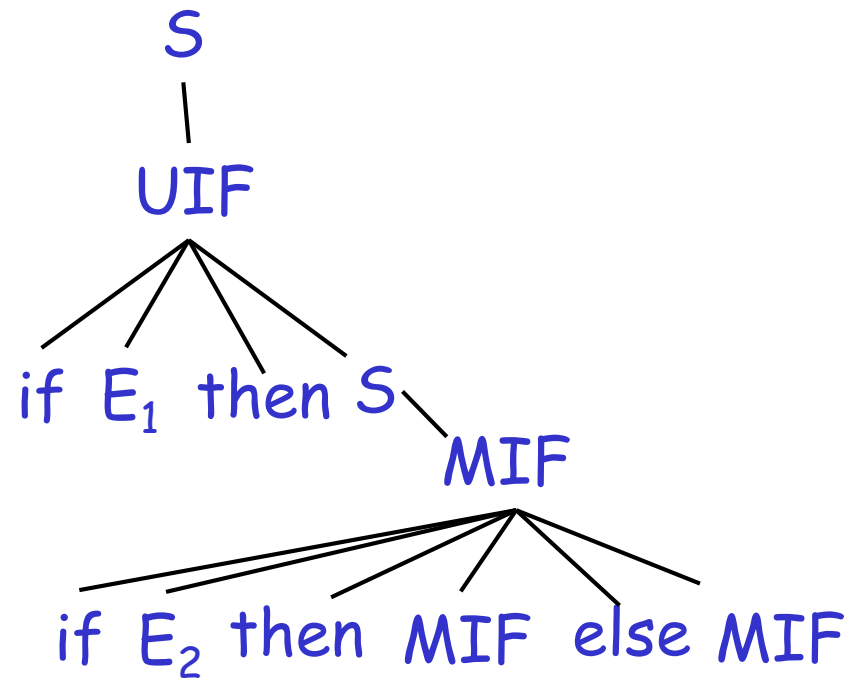
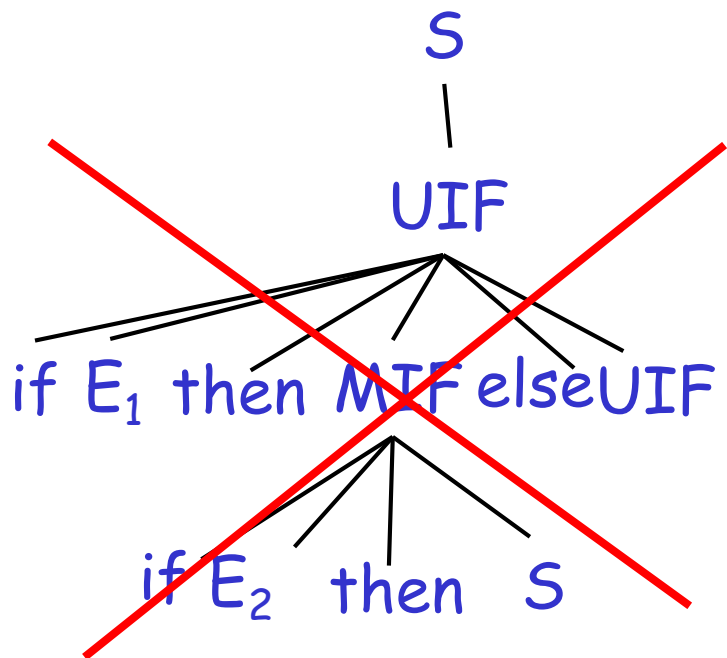
MIF \rightarrow if E then MIF else MIF
 | OTHER

UIF \rightarrow if E then S
 | if E then MIF else UIF

- Describes the same set of strings

The Dangling Else: Example Revisited

- The expression `if E1 then if E2 then S else S`



- Not valid because the `then` expression is not a `MIF`

Prof. Aiken [slightly modified]

- A valid parse tree (for a `UIF`)

Ambiguity

- No general techniques for handling ambiguity
- Impossible to convert automatically an ambiguous grammar to an unambiguous one
- Used with care, ambiguity can simplify the grammar
 - Sometimes allows more natural definitions
 - We need disambiguation mechanisms

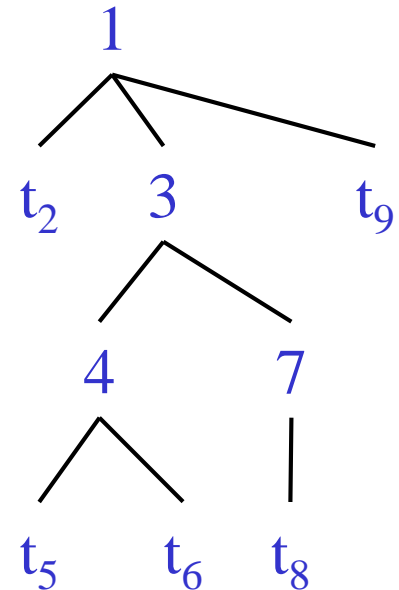
Introduction to Top-Down Parsing

Recursive Descent

Intro to Top-Down Parsing: The Idea

- The parse tree is constructed
 - From the top
 - From left to right
- Terminals are seen in order of appearance in the token stream:

t_2 t_5 t_6 t_8 t_9



Recursive Descent Parsing

- Consider the grammar

$$E \rightarrow T \mid T + E$$

$$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$$

- Token stream is: (int)
- Start with top-level non-terminal E
 - Try the rules for E in order

Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

E

(int)



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

E
|
T

(int)



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

E
|
T
|
int

*Mismatch: int is not (!
Backtrack ...*

(int)



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

E
|
T

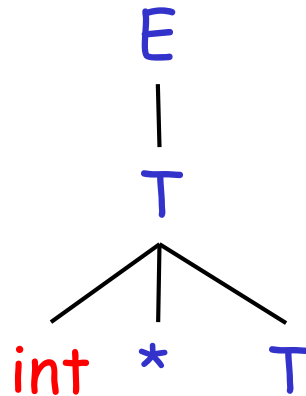
(int)



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



*Mismatch: int is not (!
Backtrack ...*

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Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

E
|
 T

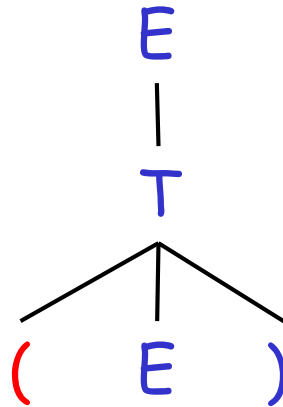
(int)



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



Match! Advance input.

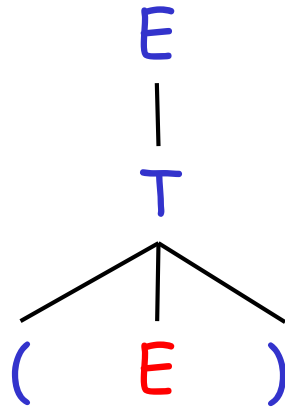
(int)



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



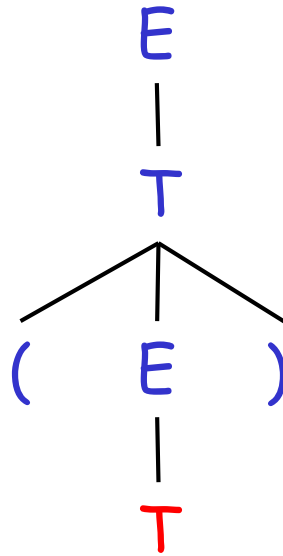
(int)
↑

Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

(int)
↑

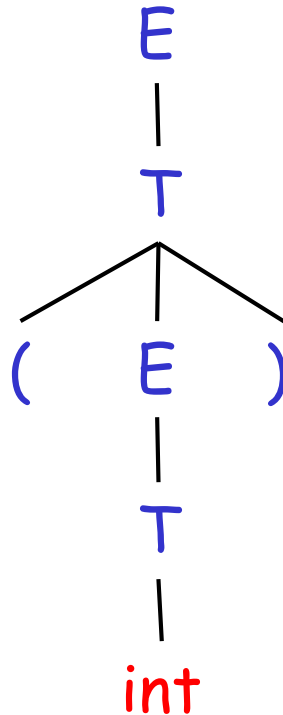


Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

(int)
↑

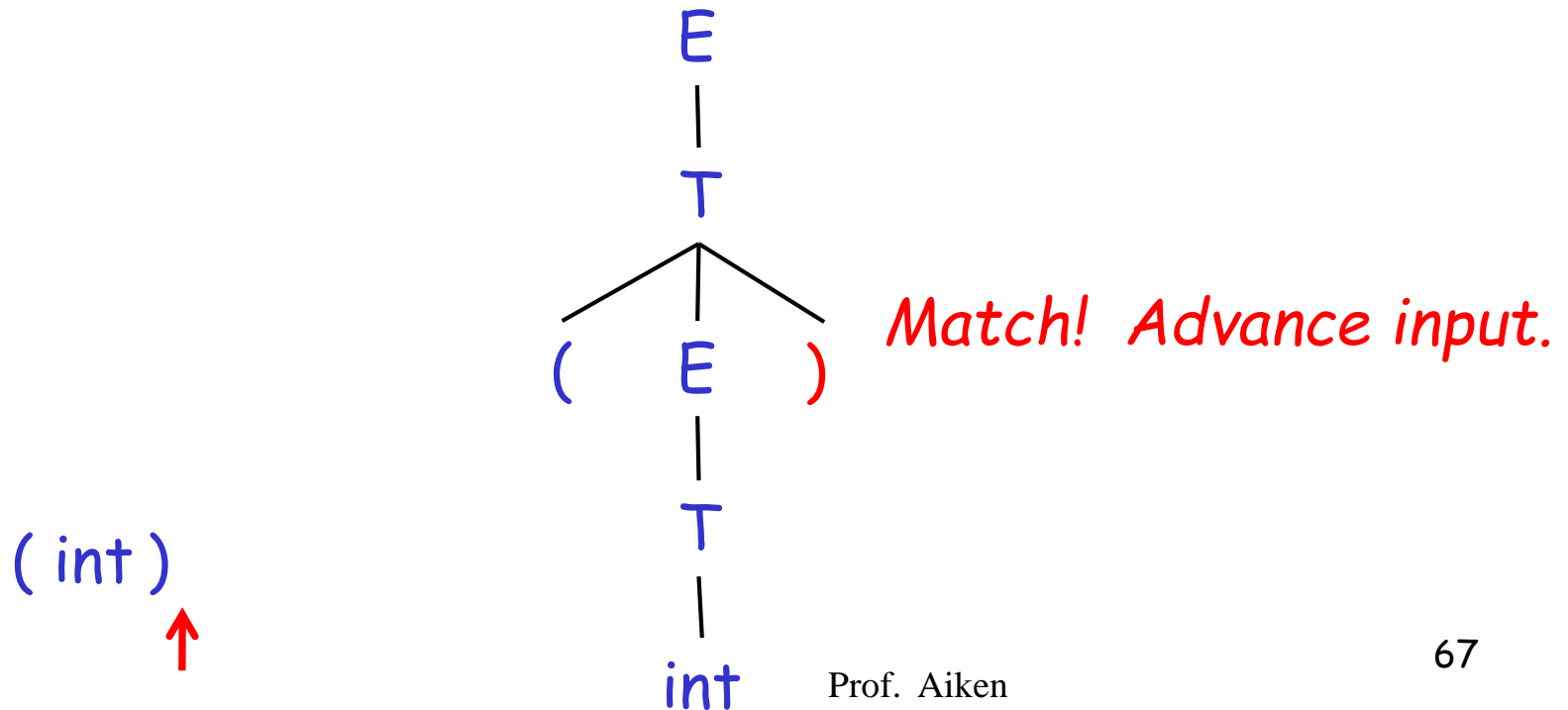


Match! Advance input.

Recursive Descent Parsing

$E \rightarrow T \mid T + E$

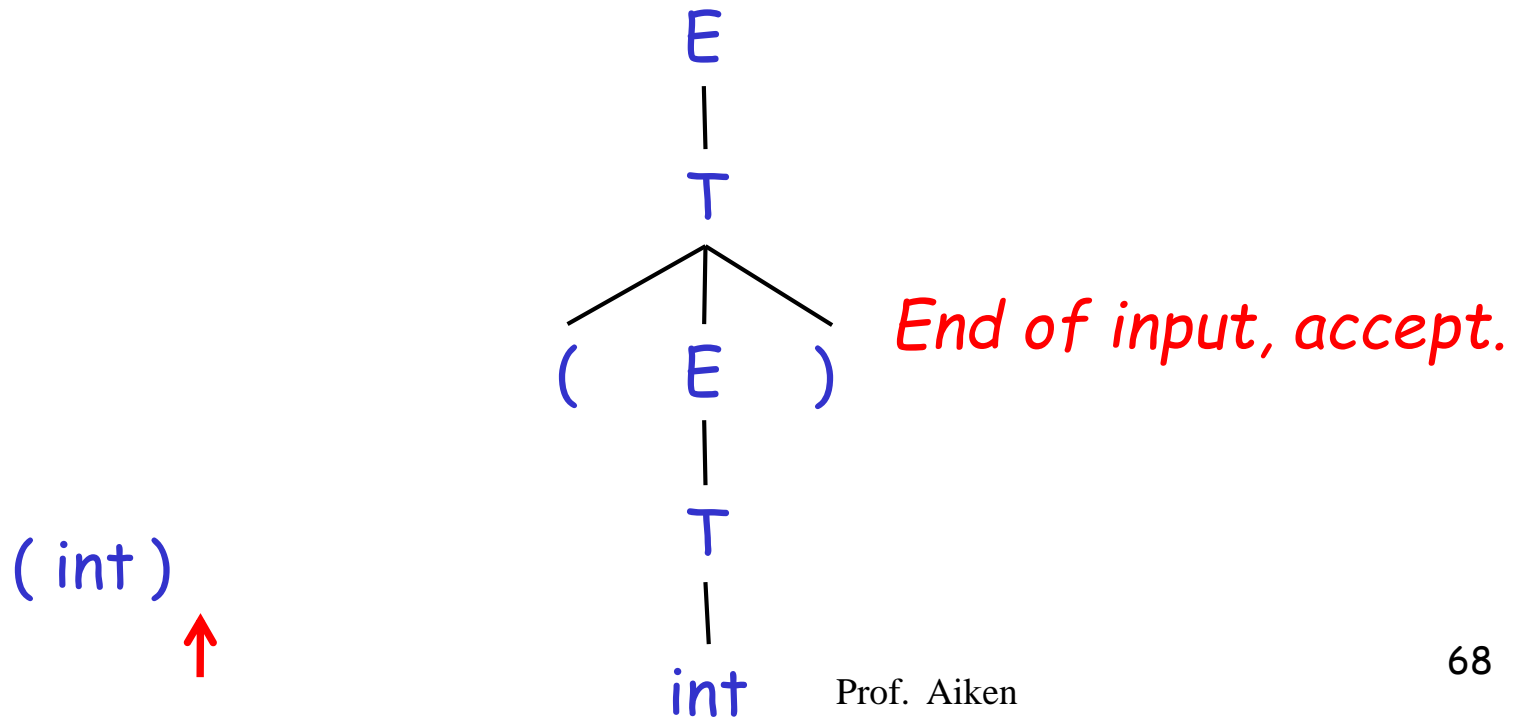
$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



Recursive Descent Parsing

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



Problems with Top Down Parsing

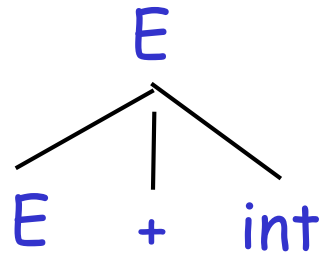
- Left Recursion in CFG may cause parser to loop forever!
- In there is a production of form $A \rightarrow A\alpha$, we say the grammar has left recursion

$$E \rightarrow E + \text{int} \mid \text{int}$$

- Solution: Remove Left Recursion...
 - without changing the Language defined by the Grammar.

Problems with Top Down Parsing (Example)

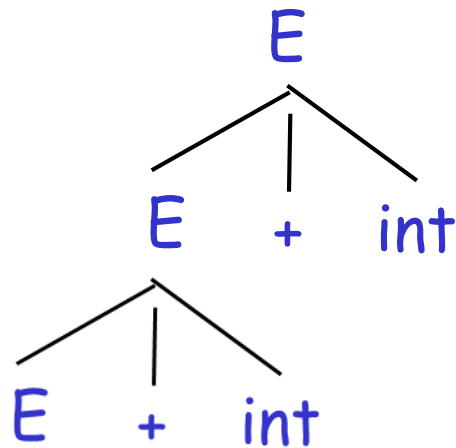
$E \rightarrow E + \text{int} \mid \text{int}$



int + int
↑

Problems with Top Down Parsing (Example)

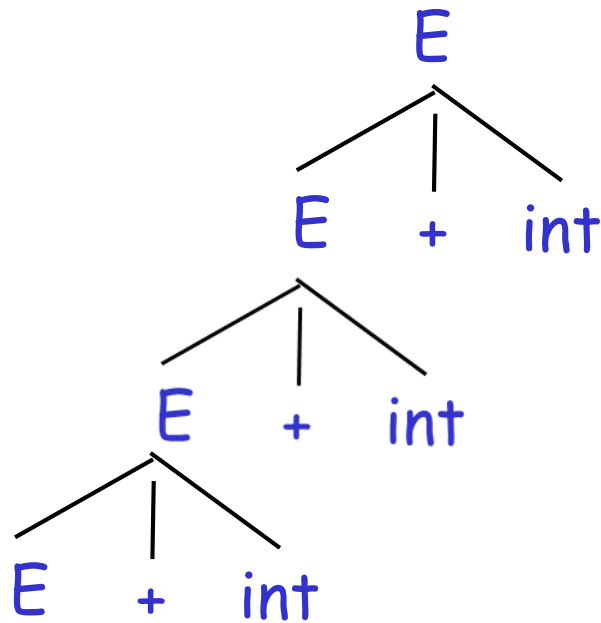
$E \rightarrow E + \text{int} \mid \text{int}$



int + int
↑

Problems with Top Down Parsing (Example)

$E \rightarrow E + \text{int} \mid \text{int}$



int + int
↑

Elimination of Left Recursion

- Consider the left-recursive grammar

$$S \rightarrow S \alpha \mid \beta$$

- S generates all strings starting with a β and followed by a number of α

- Can rewrite using right-recursion

$$S \rightarrow \beta S'$$

$$S' \rightarrow \alpha S' \mid \varepsilon$$

More Elimination of Left-Recursion

- In general

$$S \rightarrow S \alpha_1 \mid \dots \mid S \alpha_n \mid \beta_1 \mid \dots \mid \beta_m$$

- All strings derived from S start with one of β_1, \dots, β_m and continue with several instances of $\alpha_1, \dots, \alpha_n$

- Rewrite as

$$S \rightarrow \beta_1 S' \mid \dots \mid \beta_m S'$$

$$S' \rightarrow \alpha_1 S' \mid \dots \mid \alpha_n S' \mid \varepsilon$$

General Left Recursion

- The grammar

$$S \rightarrow A \alpha \mid \delta$$

$$A \rightarrow S \beta$$

is also left-recursive because

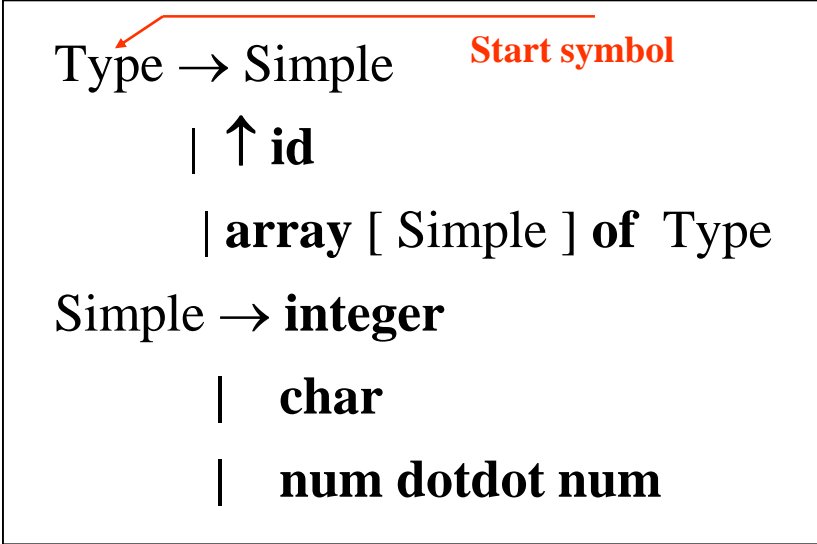
$$S \rightarrow^+ S \beta \alpha$$

- This left-recursion can also be eliminated
- See Dragon Book for general algorithm
 - Section 4.3.3

Predictive Top-Down Parsing

Parser Never Backtracks

For Example, Consider:



```
Type → Simple Start symbol
      | ↑ id
      | array [ Simple ] of Type
Simple → integer
      | char
      | num dotdot num
```

Suppose **input** is :

array [num dotdot num] of integer

Parsing would begin with

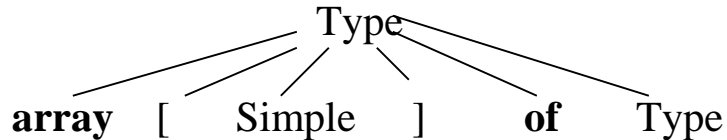
Type → ???

Predictive Parsing Example

Lookahead symbol

Input : **array [num dotdot num] of integer**

Type
?



Start symbol

Type → simple

| ↑ id

| **array [Simple] of Type**

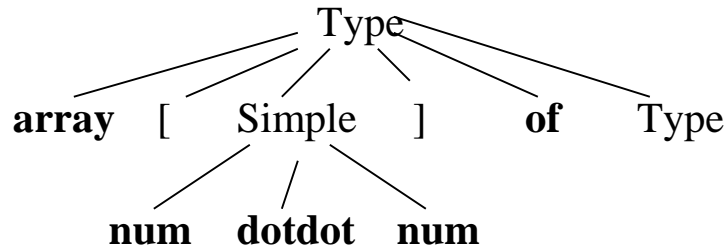
Simple → **integer**

| **char**

| **num dotdot num**

Lookahead symbol

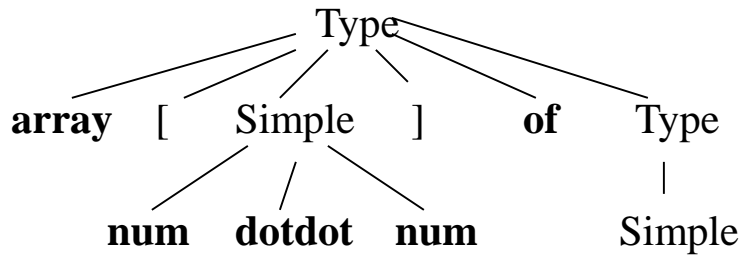
Input : **array [num dotdot num] of integer**



Predictive Parsing Example

Lookahead symbol

Input : **array [num dotdot num] of integer**

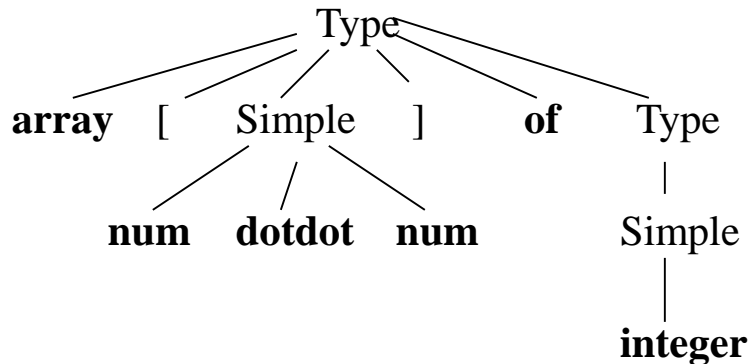


Start symbol

Type → Simple
| ↑ id
| **array [Simple] of** Type
Simple → **integer**
| **char**
| **num dotdot num**

Lookahead symbol

Input : **array [num dotdot num] of integer**



Predictive Recursive Descent

- Parser is implemented by $N + 1$ subroutines, where N is the number grammar non-terminals
- There is one subroutine for attempting to Match tokens in the input stream
- There is also one subroutine for each non-terminal with two tasks:
 1. Deciding on the next production to use
 2. Applying the selected production

Predictive Recursive Descent (Cont.)

Procedure "Match" checks if the token matches the expected input

```
procedure Match ( expected_token ) ;  
  {  
    if lookahead = expected_token then  
      lookahead := get_next_token  
    else error  
  }
```


Predictive Recursive Descent (Cont.)

- The subroutine for each non-terminals has two tasks:
 1. Selecting the appropriate production
 2. Applying the chosen production
- Selection is done based on the result of a number of if-then-else statements
- Applying a production is implemented by calling the match procedure or other subroutines, based on the rhs of the production

Predictive Recursive Descent (Cont.)

Subroutine "Simple" for the given example:

```
Type → Simple
      | ↑ id
      | array [ Simple ] of Type
Simple → integer
      | char
      | num dotdot num
```

```
procedure Simple ;
  { if lookahead = integer then call Match ( integer );
    else if lookahead = char then call Match ( char );
      else if lookahead = num
        then { call Match ( num ); call Match ( dotdot );
              call Match ( num ) }
            else error
  }
```

Predictive Recursive Descent (Cont.)

Subroutine "Type" for the given example:

```
Type → Simple
      | ↑ id
      | array [ Simple ] of Type
Simple → integer
      | char
      | num dotdot num
```

```
procedure Type ;
  {if lookahead is in { integer, char, num } then call Simple;
   else if lookahead = '↑' then { call Match( '↑' ); call Match( id ) }
   else if lookahead = array
     then { call Match( array ); call Match( '[' ); call Simple;
           call Match( ']' ); call Match( of ); call Type }
   else error
}
```

How to write tests for selecting the appropriate production rule?

Basic Tools:

First: Let α be a string of grammar symbols. $\text{First}(\alpha)$ is the set that includes every terminal that appears leftmost in α or in any string originating from α .

NOTE: If $\alpha \Rightarrow \epsilon$, then ϵ is $\text{First}(\alpha)$.

Follow: Let A be a non-terminal. $\text{Follow}(A)$ is the set of terminals a that can appear directly to the right of A in some sentential form. ($S \Rightarrow \alpha A a \beta$, for some α and β).

NOTE: If $S \Rightarrow \alpha A$, then $\$$ is $\text{Follow}(A)$.

Computing First Sets

Definition

$$\text{First}(X) = \{ t \mid X \rightarrow^* t\alpha \} \cup \{ \varepsilon \mid X \rightarrow^* \varepsilon \}$$

Algorithm sketch:

1. $\text{First}(t) = \{ t \}$
2. $\varepsilon \in \text{First}(X)$
 - if $X \rightarrow \varepsilon$
 - if $X \rightarrow A_1 \dots A_n$ and $\varepsilon \in \text{First}(A_i)$ for $1 \leq i \leq n$
3. $\text{First}(\alpha) \subseteq \text{First}(X)$ if $X \rightarrow A_1 \dots A_n \alpha$
 - and $\varepsilon \in \text{First}(A_i)$ for $1 \leq i \leq n$

Computing First(X) for all Grammar Symbols

1. If X is a terminal, $\text{First}(X) = \{X\}$
2. If $X \rightarrow \epsilon$ is a production rule, add ϵ to $\text{First}(X)$
3. If X is a non-terminal, and $X \rightarrow Y_1 Y_2 \dots Y_k$ is a production rule

Place $\text{First}(Y_1) - \epsilon$ in $\text{First}(X)$

if $Y_1 \Rightarrow \epsilon$, Place $\text{First}(Y_2) - \epsilon$ in $\text{First}(X)$

if $Y_2 \Rightarrow \epsilon$, Place $\text{First}(Y_3) - \epsilon$ in $\text{First}(X)$

...

if $Y_{k-1} \Rightarrow \epsilon$, Place $\text{First}(Y_k)$ in $\text{First}(X)$

NOTE: As soon as $Y_i \overset{*}{\Rightarrow} \epsilon$, Stop.

Repeat above steps until no more elements are added to any First set.

First Sets. Example

- Recall the grammar

$$E \rightarrow TX$$

$$T \rightarrow (E) \mid \text{int } Y$$

$$X \rightarrow + E \mid \varepsilon$$

$$Y \rightarrow * T \mid \varepsilon$$

- First sets

$$\text{First}(()) = \{ (\}$$

$$\text{First}()) = \{) \}$$

$$\text{First}(\text{int}) = \{ \text{int} \}$$

$$\text{First}(+) = \{ + \}$$

$$\text{First}(*) = \{ * \}$$

$$\text{First}(T) = \{ \text{int}, (\}$$

$$\text{First}(E) = \{ \text{int}, (\}$$

$$\text{First}(X) = \{ +, \varepsilon \}$$

$$\text{First}(Y) = \{ *, \varepsilon \}$$

Computing Follow Sets

- Definition:

$$\text{Follow}(X) = \{ t \mid S \rightarrow^* \beta X t \delta \}$$

- Intuition

- If $X \rightarrow A B$ then $\text{First}(B) \subseteq \text{Follow}(A)$ and

$$\text{Follow}(X) \subseteq \text{Follow}(B)$$

- if $B \rightarrow^* \varepsilon$ then $\text{Follow}(X) \subseteq \text{Follow}(A)$

- If S is the start symbol then $\$ \in \text{Follow}(S)$

Computing Follow Sets (Cont.)

Algorithm sketch:

1. $\$ \in \text{Follow}(S)$
2. $\text{First}(\beta) - \{\varepsilon\} \subseteq \text{Follow}(X)$
 - For each production $A \rightarrow \alpha X \beta$
3. $\text{Follow}(A) \subseteq \text{Follow}(X)$
 - For each production $A \rightarrow \alpha X \beta$ where $\varepsilon \in \text{First}(\beta)$

Follow Sets. Example

- Recall the grammar

$$E \rightarrow TX$$

$$T \rightarrow (E) \mid \text{int } Y$$

$$X \rightarrow + E \mid \varepsilon$$

$$Y \rightarrow * T \mid \varepsilon$$

- Follow sets

$$\text{Follow}(E) = \{), \$\}$$

$$\text{Follow}(T) = \{+,), \$\}$$

$$\text{Follow}(Y) = \{+,), \$\}$$

$$\text{Follow}(X) = \{), \$\}$$

Conditions for R.D. Parsing to be Predictive

R.D. parsing is Predictive when for all $A \rightarrow \alpha \mid \beta$

1. $\text{First}(\alpha) \cap \text{First}(\beta) = \emptyset$;
besides, only one of α or β can derive ϵ
2. if α derives ϵ , then $\text{Follow}(A) \cap \text{First}(\beta) = \emptyset$

Predictive Parsing and Left Factoring

- Consider the grammar

$$E \rightarrow T + E \mid T$$

$$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$$

- Hard to predict because
 - For T two productions start with int
 - For E it is not clear how to predict
- We need to left-factor the grammar

Left-Factoring Example

- Recall the grammar

$$E \rightarrow T + E \mid T$$

$$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$$

- Factor out common prefixes of productions

$$E \rightarrow T X$$

$$X \rightarrow + E \mid \varepsilon$$

$$T \rightarrow (E) \mid \text{int} Y$$

$$Y \rightarrow * T \mid \varepsilon$$

Error Handling

- Purpose of the compiler is
 - To detect non-valid programs
 - To translate the valid ones
- Many kinds of possible errors (e.g. in C)

<u>Error kind</u>	<u>Example</u>	<u>Detected by ...</u>
Lexical	... \$...	Lexer
Syntax	... x *% ...	Parser
Semantic	... int x; y = x(3); ...	Type checker
Correctness	your favorite program	Tester/User

Syntax Error Handling

- Error handler should
 - Report errors accurately and clearly
 - Recover from an error quickly
 - Not slow down compilation of valid code
- *Good error handling is not easy to achieve*

Approaches to Syntax Error Recovery

- From simple to complex
 - Panic mode
 - Error productions
 - Automatic local or global correction

Error Recovery: Panic Mode

- Simplest, most popular method
- When an error is detected:
 - Discard tokens until one with a clear role is found
 - Continue from there
- Such tokens are called synchronizing tokens
 - Typically the statement or expression terminators

Syntax Error Recovery: Error Productions

- Idea: specify in the grammar known common mistakes
- Essentially promotes common errors to alternative syntax
- Example:
 - Write $5x$ instead of $5 * x$
 - Add the production $E \rightarrow \dots \mid EE$
- Disadvantage
 - Complicates the grammar

Error Recovery: Local and Global Correction

- Idea: find a correct “nearby” program
 - Try token insertions and deletions
 - Exhaustive search
- Disadvantages:
 - Hard to implement
 - Slows down parsing of correct programs
 - “Nearby” is not necessarily “the intended” program

Syntax Error Recovery: Past and Present

- Past
 - Slow recompilation cycle (even once a day)
 - Find as many errors in one cycle as possible
- Present
 - Quick recompilation cycle
 - Users tend to correct one error/cycle
 - Complex error recovery is less compelling
 - Panic-mode seems enough

Question?

How many strings does the following grammar generate?

- 7
- 15
- 2
- 8
- 16
- 4

$$\begin{aligned}A &\rightarrow B B \\ B &\rightarrow C C \\ C &\rightarrow 1 \mid 2\end{aligned}$$

Question?

How many strings does the following grammar generate?

- 16
- 31
- 15
- 12
- 64
- 63
- 32
- 11

$$\begin{aligned}A &\rightarrow B B \\ B &\rightarrow C C \\ C &\rightarrow 1 \mid 2 \mid \varepsilon\end{aligned}$$

Question?

Which of the following is a valid derivation of the given grammar?

$$S \rightarrow aXa$$

$$X \rightarrow \varepsilon \mid bY$$

$$Y \rightarrow \varepsilon \mid cXc \mid d$$

S
aXa
abYa
acXca
acca

S
aa

S
aXa
abYa
abcXca
abcbYca
abcbdca

S
aXa
abYa
abcXcda
abccda

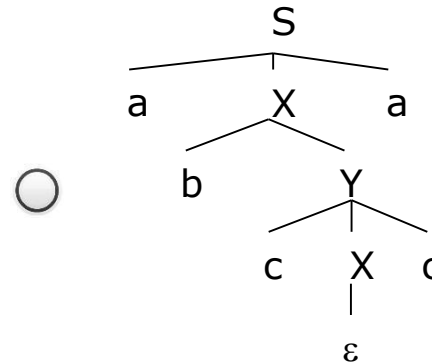
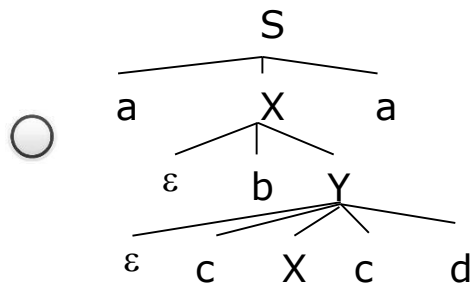
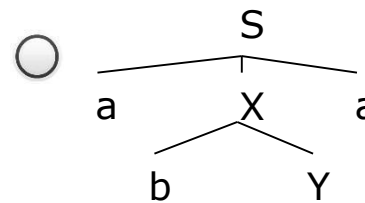
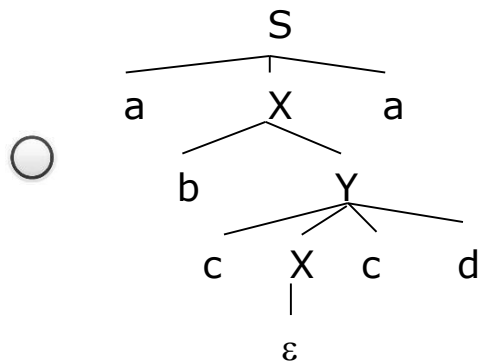
Question?

Which of the following is a valid parse tree for the given grammar?

$$S \rightarrow aXa$$

$$X \rightarrow \varepsilon \mid bY$$

$$Y \rightarrow \varepsilon \mid cXc \mid d$$



Question?

Choose the grammar that correctly eliminates left recursion from the given grammar: $E \rightarrow E + T \mid T$
 $T \rightarrow id \mid (E)$

$E \rightarrow E + id \mid E + (E)$
 $\quad \mid id \mid (E)$

$E \rightarrow TE'$
 $E' \rightarrow + TE' \mid \varepsilon$
 $T \rightarrow id \mid (E)$

$E \rightarrow E' + T \mid T$
 $E' \rightarrow id \mid (E)$
 $T \rightarrow id \mid (E)$

$E \rightarrow id + E \mid E + T \mid T$
 $T \rightarrow id \mid (E)$

Question?

Consider the following grammar. Adding which one of the following rules will cause the grammar to be left-recursive?
[Choose all that apply]

$$S \rightarrow A$$

$$A \rightarrow B \mid C$$

$$B \rightarrow (C)$$

$$C \rightarrow B + C \mid D$$

$$D \rightarrow 1 \mid 0$$

- $D \rightarrow A$
- $A \rightarrow D$
- $B \rightarrow C$
- $D \rightarrow B$
- $C \rightarrow 1 C$

Question?

Which of the following grammars are ambiguous?

- $S \rightarrow SS \mid a \mid b$
- $E \rightarrow E + E \mid id$
- $S \rightarrow Sa \mid Sb$
- $E \rightarrow E' \mid E' + E$
 $E' \rightarrow -E' \mid id \mid (E)$

Question?

Choose the unambiguous version
of the given ambiguous grammar: $S \rightarrow SS | a | b | \varepsilon$

$S \rightarrow Sa | Sb | \varepsilon$

$S \rightarrow SS'$
 $S' \rightarrow a | b$

$S \rightarrow S | S'$
 $S' \rightarrow a | b$

$S \rightarrow Sa | Sb$

Question?

Consider the following grammar. How many unique parse trees are there for the string $5 * 3 + (2 * 7) + 4$?

- 2
- 1
- 7
- 8
- 5
- 4

$$E \rightarrow E * E \mid E + E \mid (E) \mid \text{int}$$

Question?

Which of the following statements are true about the given grammar?

$$S \rightarrow a T U b \mid \varepsilon$$

$$T \rightarrow c U c \mid b U b \mid a U a$$

$$U \rightarrow S b \mid c c$$

Choose all that are correct.

- The follow set of S is $\{\$, b\}$
- The first set of U is $\{a, b, c\}$
- The first set of S is $\{\varepsilon, a, b\}$
- The follow set of T is $\{a, b, c\}$

Question?

Consider the following grammar:

$$\begin{aligned} S &\rightarrow A (S) B \mid \varepsilon \\ A &\rightarrow S \mid S B x \mid \varepsilon \\ B &\rightarrow S B \mid y \end{aligned}$$

What are the first and follow sets of S

- First: $\{x, y, (, \varepsilon\}$ Follow: $\{y, x, (,)\}$
- First: $\{x, \varepsilon\}$ Follow: $\{\$, y, x, (,)\}$
- First: $\{y, (, \varepsilon\}$ Follow: $\{\$, y, (,)\}$
- First: $\{x, y, (, \varepsilon\}$ Follow: $\{\$, y, x, (,)\}$
- First: $\{x, y, (\}$ Follow: $\{\$, y, x, (,)\}$
- First: $\{x, (\}$ Follow: $\{\$, y, x\}$

Question?

Choose the derivation that is a valid recursive descent parse for the string `id + id` in the given grammar. Moves that are followed by backtracking are given in red.

- E
 - E'
 - E' + E
 - id + E
 - id + E'
 - id + id

- E
 - E' + E
 - id + E
 - id + E'
 - id + id

- E
 - E'
 - E'
 - id
 - (E)
 - E' + E
 - E' + E
 - id + E
 - id + E'
 - id +-E'
 - id + id

- E
 - E'
 - id
 - E' + E
 - id + E
 - id + E'
 - id + id

$$E \rightarrow E' \mid E' + E$$

$$E' \rightarrow -E' \mid id \mid (E)$$

Question?

Choose the grammar that correctly eliminates left recursion from the given grammar: $E \rightarrow E + T \mid T$
 $T \rightarrow id \mid (E)$

$E \rightarrow E + id \mid E + (E)$
 $\quad \mid id \mid (E)$

$E \rightarrow TE'$
 $E' \rightarrow + TE' \mid \varepsilon$
 $T \rightarrow id \mid (E)$

$E \rightarrow E' + T \mid T$
 $E' \rightarrow id \mid (E)$
 $T \rightarrow id \mid (E)$

$E \rightarrow id + E \mid E + T \mid T$
 $T \rightarrow id \mid (E)$

Question?

Choose the alternative that correctly left factors “if” statements in the given grammar

```
EXPR → if BOOL then { EXPR }
      | if BOOL then { EXPR } else { EXPR }
      | ...
BOOL → true | false
```

EXPR → if true then { EXPR }
| if false then { EXPR }
| if true then { EXPR } else { EXPR }
| if false then { EXPR } else { EXPR }
| ...

EXPR → EXPR' | EXPR' else { EXPR }
EXPR' → if BOOL then { EXPR }
| ...
BOOL → true | false

EXPR → if BOOLEXPR'
| ...
EXPR' → then { EXPR }
| then { EXPR } else { EXPR }
BOOL → true | false

EXPR → if BOOL then { EXPR } EXPR'
| ...
EXPR' → else { EXPR } | ε
BOOL → true | false