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5	A Critical Study of the Existing Issues in Manufacturing Maintenance Systems: Can
6	BIM Fill the Gap?
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26 Abstract

27 The use of smart and complicated technologies in manufacturing industries has brought new 28 issues to the maintenance systems in recent years. In this research, an intensive literature review 29 is performed to identify and classify these issues. Inspired by the recent advances that Building 30 Information Modeling (BIM) has brought to the construction industry, the research proposes 31 adopting BIM in manufacturing maintenance systems to address the existing issues. A list of 32 BIM capabilities utilized for addressing maintenance issues of buildings is extracted from the 33 literature. It is argued that these BIM capabilities can also solve similar maintenance issues found in manufacturing industries. A BIM-based corrective maintenance model for an 34 35 aluminum casting plant is developed to investigate the application of sample BIM capabilities 36 in a manufacturing maintenance system. Twenty maintenance experts are invited to interact with the developed pilot model. Rapid locating of equipment requiring repairs, easy access to 37 38 documents and records, efficient collaborative cloud environment, and expedited operations 39 are among the identified advantages of BIM capabilities in the case. This research contributes 40 to the body of knowledge by providing a comprehensive list of categorized manufacturing 41 maintenance issues. Nominated BIM capabilities to address the identified manufacturing 42 maintenance issues are recommended. The achieved results of this research can inspire 43 manufacturing maintenance practitioners and researchers to adopt similar technologies to BIM 44 to improve manufacturing maintenance systems.

45 Keywords Maintenance System, Manufacturing Industry, Building Information Modeling,
46 Maintenance Issues

47 **1 Introduction**

48 The average cost of equipment maintenance in manufacturing is estimated at 25% of the total 49 production cost [1]. The significant impact of the maintenance systems on the manufacturing 50 industry has inspired many researchers to address the existing gaps and improve different 51 aspects of the maintenance systems. Despite the achieved advances, many identified gaps could 52 not be adequately addressed by currently adopted technologies in manufacturing maintenance 53 systems. Furthermore, the emergence of smart and advanced manufacturing technologies in the 54 recent decade has created unprecedented challenges in maintenance systems. [2]. Effectively 55 addressing the existing gaps in the maintenance systems can significantly contribute to the profitability of manufacturing operations. 56

57 Building Information Modeling (BIM) is an emerging technology that has established itself in 58 the last decade as a leading tool for the life cycle management of buildings. According to the 59 definition provided by the National Institute of Building Sciences [3], "BIM is a digital 60 representation of physical and functional characteristics of a facility. A BIM is a shared 61 knowledge resource for information about a facility forming a reliable basis for decisions during its lifecycle, defined as existing from earliest conception to demolition." The 62 63 revolutionary role of BIM in the maintenance of buildings has filled many gaps that were 64 unresolved by previously adopted computer-based solutions. The use of BIM could address similar gaps existing in manufacturing maintenance systems. From this perspective, this 65 66 research aims to investigate the potential benefits that BIM can bring to manufacturing 67 maintenance systems. The findings of this research are organized as follows. Section 2 outlines 68 the main stages of the research methodology. Section 3 presents the list of classified 69 improvement points created as a result of an intensive literature review of manufacturing maintenance systems. Section 4 describes the nominated capabilities of BIM to map the 70 71 identified needs in the manufacturing maintenance systems. In Section 5, the sample

72	capabilities of BIM are demonstrated and evaluated in a pilot proof-of-concept implementation
73	of a BIM-based maintenance system in an aluminum casting plant. Finally, in Section 6, the
74	achieved results of the research are concluded.

75 **2 Research Methodology**

76 The following are three specific questions that this study seeks to answer.

- 77 1. What is the current state of maintenance of manufacturing units, and what are the78 existing challenges?
- Which capabilities of BIM are utilized in building maintenance, and how are theysolving building maintenance challenges?
- 81 3. How can manufacture maintenance leverage BIM capabilities to address its current82 challenges?

83 In the first part of the research, a list of classified improvement points of the manufacturing 84 maintenance systems was created as a result of an intensive literature review. Scholarly papers 85 with both "manufacturing" and "maintenance," along with one of the keywords "issues," 86 "deficiencies," "shortfalls," "challenges," and "imperfection" in their title, abstract, and 87 keywords were searched in the Scopus and Google Scholar databases. The search only focused 88 on English papers in journals and proceedings published between 2001 and 2021. Papers 89 published in non-refereed journals and conference papers without citations were filtered. The 90 papers were initially reviewed by their title to remove those irrelevant. Secondly, the remaining 91 papers were screened based on their abstracts. The remaining papers were then thoroughly 92 reviewed. In total, 105 papers were thoroughly studied, and 59 were used and cited in the final 93 manuscript.

94 The second part of the research was performed to identify the answer to the second question 95 and form an intensive list of BIM capabilities in building maintenance systems. The

96 "Maintenance," "BIM," and "Capability" keywords were used to search scholarly articles in 97 the Scopus database. Google Scholar was used as a complementary search engine for the 98 specified BIM capabilities and narrowed-down topics. Here again, papers published in non-99 refereed journals and conference papers without citations were filtered. The topics and abstracts 100 of 99 scholarly articles were found relevant. After the complete review of these articles, 43 of 101 them were used and cited in the final manuscript. The focus of the third part of the research 102 was to investigate whether BIM has proper capabilities to address the existing issues in the 103 manufacturing maintenance system. This investigation was done by mapping the identified 104 BIM capabilities used to address similar issues in the maintenance systems in the construction 105 industry. In the fourth and the final part of the research, sample capabilities of BIM were 106 demonstrated in a small-scale case study of a BIM-based maintenance system in a 107 manufacturing line. The main aim of this case study was to test whether sample BIM 108 capabilities can be beneficial in real manufacturing cases.

109 **3** The need for improvement in manufacturing maintenance systems

110 This research created a list of 35 identified challenges and potential improvement points from 111 an intensive review of past research. At the first level, these improvement points were 112 categorized into four high-level categories, namely, 1) maintenance operation management, 2) 113 communication and information, 3) technological, and 4) organizational. Improvement points 114 under each high-level category were further categorized into several main categories at the next 115 level. Table 1 represents the identified challenges and potential improvement points in manufacturing maintenance systems. Further explanations regarding the identified 116 117 classification of the improvement points follow.

High-level Categories	Main Categories	Potential Improvement Point
		Strict limitations for maintenance scheduling
	Maintenance	Redundancy in maintenance activities
	operation planning	Maintenance crew safety
		Environmental sustainability
	Maintenance cost management	High maintenance cost
Maintenance operation		Difficulties in finding the balance point of costs
management		Insufficient maintenance cost information
	Performance	Inefficient maintenance performance measurement tools
	measurement	Ambiguous effects of maintenance on the company's performance
	Maintenance training	Training maintenance staff
		Training operators
		The high volume of various data
		Redundant and irrelevant data
	Data collection	Unsystematic data collection and storage
		Expensive data collection equipment
	Information security	Security of high volume and various data
Communication and	Communication	Ineffective communication between stakeholders
information		Time-consuming access to information
		Lack of access to the baseline information
	Inefficient access to	Uncategorized information
	information	Lack of physical models of the equipment
		Limited access to information from outside of the manufacturing plant
		Improper visualization tools
		Lack of synergy between human and machine intelligence
	Ineffective data analysis	Inability to analyze the failure root causes
		Inability to predict failures
Technical issues		Inefficient warning systems
	Inflexibility to changes	The inflexibility of the existing systems to change
		The resistance of the workforce to change
	Interoperability issues	Lack of interoperability
	Senior management share Connected departments	Lack of a clear maintenance policy
		Improper relationship with employees
Organizational issues		Insufficient maintenance resources procurement
		Production and maintenance conflict
		Inventory and spare part supply

119 **3.1 Maintenance operation management**

The evolution of manufacturing technologies and the expanded level of automation have increased the pressure on the maintenance crews in the manufacturing industry [4]. This extra pressure has caused unelucidated issues that could be classified into four main categories, including 1) maintenance operation planning, 2) maintenance cost management, 3) performance measurement, and 4) maintenance training. Each main category is explained below.

126 **3.1.1 Maintenance operation planning**

127 The existing variety of failure modes and production line limitations of various manufacturing 128 equipment components complicate inspection and maintenance intervals [5]. The common 129 practice of the predetermined inspection and maintenance intervals leads to over-maintained 130 or under-maintained programs [6]. More efficient strategies such as predictive maintenance 131 can provide a more realistic maintenance plan [6]. The unexpected delay for the spare parts, 132 machinery and staff arrival, unnecessary travel between the shop floor and inventory, and 133 excessive loads applied to equipment also complicate the maintenance planning process [7]. 134 Baluch et al. [7] suggest strengthening the lean concept in maintenance planning and 135 considering maintenance as a long-term strategy to reduce such losses. Safety is another 136 concern to address in maintenance planning. Improper risk assessment, time pressure, lack of 137 vision, and incomplete guidelines can cause safety issues during maintenance activities [8]. 138 Many companies use simple tools such as Job Safety Analysis, What-if analysis, Event Tree 139 Analysis, and HAZOP to analyze maintenance procedures and identify risks [8]. Additionally, 140 appropriate maintenance planning needs to cover environmental risks such as greenhouse gas 141 emissions and water and soil pollution [9]. The conflicts between production and business 142 interests with sustainability purposes are the key impediments in applying sustainable 143 maintenance[10]. It is required to define the impacts of maintenance processes on the sustainable performance of the manufacturing industry [11]. Employing data-driven approaches and DTs could help maintenance managers strike a balance between different objectives of maintenance stakeholders [10]. Data analysis is another challenge to sustainable maintenance in manufacturing units that have been alleviated by expanding smart sensing technologies and big data analysis methods [12].

149 **3.1.2 Maintenance cost management**

150 The cost of equipment maintenance has increased in production systems in recent years [13]. 151 A considerable portion of the maintenance activities is allocated to preventive maintenance, 152 predictive maintenance, and diagnostic and health management strategies, which are per se 153 expensive methods [6]. Finding a balance for maintenance activities is an issue that needs to 154 be addressed in maintenance planning [5]. Optimization models such as Particle Swarm 155 Optimization [5] and Differential Evolution algorithm [13] are used to find the balance between 156 maintenance costs and equipment reliability. On the other hand, the implementation of smart 157 technologies, such as the DTs, for maintaining the manufacturing plants is costly and limited 158 to maintaining critical assets [2]. In some cases, the costs of adopting advanced maintenance 159 techniques have not been tracked, or manufacturers are reluctant to provide information about 160 them [14].

161 **3.1.3 Performance measurement**

The emergence of smart manufacturing urges the real-time estimation of the maintenance's key performance indicators [15]. However, measuring the performance of the maintenance crew is not an easy task. Some criteria such as overall equipment effectiveness, return on net assets, and return on capital employed are used to measure and monitor maintenance performance in manufacturing plants [16]. Nevertheless, mechanisms typically used to measure equipment performance do not provide a clear view of the maintenance teams' performance [16]. Key 168 performance indicators used to measure maintenance system performance mainly focus on the 169 outputs, but not the process [17]. The complex interactions between maintenance, inventory, 170 and production systems prevent the proper definition of the maintenance system's key 171 performance indicators [18]. The consistent record of the maintenance work orders can pave the ground for measuring the key performance indicators of the real manufacturing units [19]. 172 173 There are also few empirical studies to examine the effects of smart maintenance technologies 174 on the performance of production plants [20]. The maintenance performance indicators must 175 also be defined to reflect the business context and overall strategies of the company [4].

176 **3.1.4 Maintenance training**

177 Despite its significant financial impacts on smart manufacturing performance, maintenance 178 engineering is usually low on the priority list [15]. Lack of training programs or contents for 179 maintenance crews is an issue in many manufacturing plants [21]. Maintenance crews must be 180 trained adequately to understand the overall structure of their maintenance systems and digest 181 the rapid flow of information in their companies [22]. Furthermore, the emergence of artificial 182 intelligence in smart maintenance systems urges the maintenance teams to acquire the relevant 183 knowledge [23]. The main goal of personnel training is to improve their acquaintance with the 184 new technologies, change their mindsets, and adapt them to modern systems [24]. Maintenance 185 staff must learn a vast amount of complex information in a limited time. This challenge 186 increases their stress levels, decreases their performance, and exacerbates life and safety 187 hazards [25]. Additionally, many operators are not adequately trained to efficiently operate 188 production line equipment and thus increase the likelihood of equipment damage and 189 maintenance costs [21], [26]. Virtual and augmented reality are emerging tools to improve the 190 training quality of maintenance crew and respond to the existing training gap [23, 25].

3.2 Communication and information

Organizational complexity and different specialties create complications during the establishment of information and communication flow among the stakeholders [27]. This research classifies this category of challenges into four main groups, including; 1) Data collection, 2) Information security, 3) Communication, 4) Inefficient access to information.

196 **3.2.1 Data collection**

197 Manufacturing maintenance systems involve large amounts of data. Catalogs, drawings, 198 manuals, spare parts, lubricants, and maintenance history are among the information to be 199 collected for equipment maintenance. One significant challenge of maintenance systems is 200 properly collecting and managing all this detailed information [28]. Maintenance data is 201 collected from different sources such as RFID tags, sensors, personal digital assistants, and 202 maintenance operators over the life cycle of assets [29]. Neglecting the required data or 203 collecting unnecessary data could reduce the quality of information [7]. Predictive maintenance 204 requires real-time monitoring and processing of large volumes of data. This process leads to 205 obtaining poorly structured data with missing values or no annotations [30]. In many cases, 206 various maintenance data are stored in multiple separate datasets. Effectively linking these 207 separately managed datasets poses another challenge for maintenance activists [31]. The 208 emergence of new informatics paradigms in the smart manufacturing context stipulates new 209 data collection standardization [32]. However, a standard, pre-planned data collection method 210 is missing in many manufacturing units [21]. Systematically collecting asset and product data 211 and disseminating it among different stakeholders enhances the system's efficiency and 212 facilitates information retrieval for decision-making [31]. Many manufacturing units still use 213 manual and paper-based methods to collect and store their data. This maintenance approach, 214 however, is uneconomical and unsafe in the currently risky business environments [33]. 215 Furthermore, manually collected data mainly relies on personal judgments and negatively affects information quality [29]. The counter-intuitive user interfaces of electronic data collection methods is another reported challenge [13]. Although manufacturing industries have been equipped with automated data collection sensors in recent decades, using sensors to collect every piece of maintenance-related information imposes a high cost on the organization [34].

221 **3.2.2 Information security**

222 The high volume of data created and transferred in the maintenance systems increases data 223 theft risk [28]. Therefore, ensuring user privacy, service security, information security, network 224 security, data locality, data integrity, authentication, and authority allocation are essential in 225 maintenance systems [35]. However, the high volume and variety of the information exchanged 226 [28], and the use of external platforms and internal networks [23] in maintenance systems 227 challenge the security of data stored and transferred, especially in the case of using cloud spaces. Information security can be addressed by network security protocols, network 228 229 authentication services, and data encryption services [28].

3.2.3 Communication

231 The emergence of smart manufacturing systems has urged the need for specialized groups of 232 maintenance service providers [36], increased the number of stakeholders, and highlighted the importance of effective communication methods. The ineffective connection between system 233 234 stakeholders, however, is a challenging issue reported in the past (e.g. [32], [36], [37]). This 235 issue prevents instantaneous understanding and reduces the applicability of the collected data 236 in manufacturing maintenance systems [29]. Designing a standard template for exchanging 237 messages, choosing a secure communication protocol, and defining terminology for 238 information flow can improve communication between departments [38].

239 **3.2.4 Inefficient access to information**

240 Recent advancements in Information and Communication Technologies (ICTs) and smart 241 sensors have provided maintenance practitioners with new data sources [10]. However, 242 accessing the required information such as warehouse information, equipment locations [7], 243 and manuals of equipment [38] require considerable time in many manufacturing companies. 244 In some manufacturing industries, engineers have difficulty accessing maintenance baseline 245 data [6]. Maintenance teams face challenges in installing and repairing equipment due to 246 limited access to machines' precise geometric information in their plants [6]. Smart 247 manufacturing suggests integrating the equipment through the internet to provide a versatile 248 and robust maintenance system [15]. However, these systems require a high-speed and reliable 249 internet connection to efficiently access the information [23]. Local networks' limitations in 250 providing access to information from outside is another issue for manufacturing maintenance 251 teams [33]. Another challenge is the improper visualization tools to show the results of 252 engineering analyses. Augmented reality (AR) has recently been utilized as an effective 253 technique to overcome this issue [10].

254 **3.3 Technical issues**

The continual need for upgrading equipment creates technical issues in many manufacturing industries. These issues are divided into three main groups, including 1) ineffective data analysis, 2) inflexibility to change, and 3) software issues.

258 **3.3.1 Ineffective data analysis**

With the emergence of smart manufacturing systems, effective data analytic methods are sought by manufacturing companies [16]. However, developed technologies are still far behind this goal [23]. These technologies do not provide the necessary synergy between human and machine knowledge, leading to inaccurate decisions [39]. In some cases, recently evolved 263 smart systems benefit from machine learning to detect and predict failures [40]. However, both 264 the low and excessive data heterogeneity impair the performance of these algorithms [30]. In 265 addition, the analysis of large and diverse maintenance data, including sensor information, text 266 reports, and multimodal data, also disrupts the performance of prediction tools [41]. Such complexity discourages the manufacturing enterprises from implementing data analysis 267 268 techniques [42]. Equipment is repaired or replaced before it is severely damaged in preventive 269 maintenance operations. This approach averts data collection for the entire equipment life 270 cycle, impairs the prediction process, and reduces maintenance crews' insight into the 271 equipment condition [6], [30]. Additionally, data sparsity decreases algorithms' accuracy in 272 predicting the time between equipment failures [34]. In some cases, besides the high variability 273 of parameters, the technical constraints of sensor installations impose difficulty on the failure 274 prognosis of equipment [43]. Various factors such as inaccurate semantic expressions, 275 dependence on specific machines, deficiency in incorporating the external influence factors, 276 improper management of data uncertainty [44], inability to analyze new types of failure, and 277 limited transplantation [45] restrict the performance of the fault diagnosis models. From this 278 perspective, many production maintenance managers are not alert to equipment failures and 279 the resulting hazards [33]. Even if these systems are implemented, the high rate of false 280 warnings is the outcome [6]. As utilizing the single analytical models is hardly fulfilling the 281 challenges, the combination of the different models is proposed to address these complexities 282 [44].

283 **3.3.2 Inflexibility to change**

Many manufacturing maintenance systems do not properly adapt to the newly evolved systems [46]. The commonly used client-server paradigm is typically not compatible with cloud-based technologies for storing and transferring the required data for preventive maintenance [35]. A shift in the current paradigm to the mobile agent approach has been suggested to enhance the 288 data storage and transmission problems [35]. In addition, there is a lack of scientific action plan 289 to guide the organizations to transform the conventional maintenance systems [23]. The 290 reluctance to recent changes by the maintenance team and considering new strategies as 291 interferences on conventional methods add to the barriers of adopting new maintenance 292 systems [2]. Besides, manufacturing industry personnel need the training to adapt to new 293 emerging setup [38]. All these challenging issues may discourage top management's desire to 294 implement new technologies. Highlighting the benefits of the latest technologies by the 295 scientific community, integrating the advances by technology providers, and the managers' 296 openness to the new paradigms are identified as the key factors to overcome the challenges [2].

297 **3.3.3 Interoperability issues**

298 In the manufacturing context, interoperability is defined as various organizational systems' 299 ability to communicate and exchange information seamlessly [47]. Although numerous data 300 silos exist in manufacturing plants, collecting and integrating information from these databases 301 are missing [2]. The integration of predictive maintenance processes is also one of the 302 significant challenges for the company. These processes generally include collecting data from 303 sensors installed on machines, processing data, developing and training machine learning 304 algorithms, and integrating with other information sources [30]. Lacking information exchange 305 standards and incompatible file formats are two main issues inherited from new manufacturing 306 technologies [28]. Although recent studies, such as [48], attempted to delineate the problem 307 and proposed an approach to develop a data scheme within the context of smart manufacturing 308 systems, the challenge remains open for future research.

309 3.4 Organizational issues

Inefficient, intra-organizational communication affects maintenance performance and reducesthe quality of the final product and productivity of the organization [49]. In this section, two

main groups of intra-organizational communicational challenges reported in the literature aredescribed below.

314 **3.4.1 Senior management share**

315 Many manufacturing maintenance systems suffer from outdated and/or unstable maintenance 316 policies due to senior management's non-engagement [38], [50]. Poorly defined maintenance 317 activities by senior management adversely affect decision-making, and the mandated strategies 318 may inflict strict and non-optimal strategies [2]. Studies on modern maintenance systems do 319 not explain which model should be used by maintenance teams; therefore, there is a lack of 320 systematic approaches to developing the maintenance strategies [44]. Mutual distrust between 321 senior management and maintenance crews can also worsen the condition [21]. Furthermore, 322 providing a proper amount of resources to the maintenance system is another challenge senior 323 management face [51]. Resource over-allocation leads to a waste of resources. On the other 324 hand, resource under-allocation reduces productivity and creates safety risks [52]. Recent 325 studies utilized the simulation methods to find the most optimum level of resource allocation 326 for maintenance teams (e.g. [60], [61]).

327 3.4.2 Connected departments

328 In many manufacturing companies, production operators are indifferent to the maintenance 329 team's concerns, and the two departments often suffer a conflict of interest [55], [56]. The 330 production staff is reluctant to do maintenance-related tasks, and the maintenance teams do not 331 trust the production crews [16]. Furthermore, maintenance planning usually considers 332 equipment reliability as the only determining factor and does not take into account the 333 production orders and planned product mix [57], extending the production time and delivery 334 dates of the final product [58]. As a result of constraints imposed by production teams, the 335 maintenance team is forced to postpone operations, which increases the failure rate, especially 336 during peak production periods [5]. Reinforcement learning-based [59] methods and stochastic 337 digital twin [60] have been used to establish an optimal trade-off between conflicting 338 production and maintenance goals. The maintenance's efficiency is also tightly related to the 339 spare part management by the inventory department; thus, the integration of the inventory 340 policies in the maintenance planning is of particular importance [61]. Poor inventory 341 management in the timely supply of required parts delays the maintenance operations and 342 increases the maintenance costs [7]. There exists a strong interest during recent years in 343 investigating the integration of maintenance strategies with spare part management to address 344 this challenge [61].

345 **3.5. Discussion on the manufacturing maintenance challenges**

346 The manufacturing industry is experiencing significant changes and evolution due to the 347 expansion of new and smart technologies. With such developments, engineers are facing new 348 challenges in maintaining the equipment. These challenges range from managing maintenance 349 processes and communication issues to technical and organizational problems (see Table 1 for 350 the details). These issues prevent the maintenance teams from performing optimally and 351 indirectly affect the quality of the end products. Researchers have so far addressed a number 352 of these challenges and offered solutions. For instance, adopting lean manufacturing has been 353 proposed as a way to prevent maintenance losses in factories. Newer technologies, such as 354 data-driven analysis, machine learning, optimization algorithms, and simulation have been 355 utilized to alleviate environmental side effects of maintenance activities, reduce costs, and 356 resolve conflicts between maintenance and production plans. Training staff using virtual and 357 augmented reality has also become popular in recent years. The use of the cloud environment 358 has also been proposed as a solution to the storage and access of information problems. 359 However, many proposed solutions to the identified challenges are tailored for the specific

360 context of the issue and are not comprehensive enough to be widely adopted in production 361 units. Many of the proposed solutions are only theoretically stated, and their effectiveness has 362 not been tried in practice. Furthermore, solutions offered to some of emerging manufacturing 363 maintenance issues have remained unresolved. For instance, no solid solutions are proposed for the maintenance stakeholders' communication issues, the interoperability challenges, and 364 365 the maintenance knowledge base integration. There is a strong need to introduce a new 366 infrastructure capable of including and connecting existing maintenance systems, information, 367 and stakeholders across manufacturing industries.

368 4 Mapping BIM capabilities to the identified needs for improvement

369 The powerful BIM capabilities have encouraged practitioners to use BIM to cover the existing 370 gaps in building maintenance systems. Significant improvements made by BIM applications in 371 building maintenance systems have sparked the idea of applying a similar tool to overcome the 372 current challenges within manufacturing companies. Table 2 summarizes the literature review 373 results for the applicable BIM capabilities in improving building maintenance systems. The 374 table also lists proposed manufacturing maintenance issues that the identified capabilities can 375 address. These suggestions are based on the literature review and the authors' insight, and the 376 study of their practicality can be a subject for future research and experiments. In the rest of 377 this section, each of these capabilities and their possible application in manufacturing 378 maintenance systems are discussed.

Category	BIM Capability	Proposed manufacturing maintenance issues to be covered
	3D interface augmented and virtual reality	Lack of physical models of equipment, Strict limitation of maintenance scheduling, Redundancy in maintenance activities, High maintenance cost, Time-consuming access to information, Improper visualization tools, Training maintenance staff, Training operators
Object- oriented and 3D	Integration of the required maintenance information	Uncategorized information, Unsystematic data collection, and storage, Redundant and irrelevant data, Time-consuming access to information
visualization	Historical data storage platform	Time-consuming access to information, High maintenance cost, Insufficient maintenance cost information, Redundancy in maintenance activities, Inefficient maintenance performance measurement tools, Access to baseline information
	Connection to the maintenance schedule	Strict limitation of maintenance scheduling, Maintenance crew safety, Production and maintenance conflict, High maintenance cost, Redundancy in maintenance activities
Collaborative	Communication between stakeholders	Ineffective communication between stakeholders, Limited access to information from outside, Production and maintenance conflict, Inventory and spare part supply
environment	Design for maintenance	Ineffective communication between stakeholders, High maintenance cost, Redundancy in maintenance activities, Maintenance crew safety
	Interoperability with existing maintenance management systems	Lack of interoperability, Time-consuming access to information, Redundancy in maintenance activities, Inability to analyze the failure root causes
Interoperability	Interoperability with engineering analysis tools	High maintenance cost, Redundancy in maintenance activities, Environmental sustainability, Difficulties in finding the balance point of costs, Lack of synergy between human and machine intelligence
Space	Digital equipment layout	Inefficient maintenance performance measurement tools, Redundancy in maintenance activities
management	Maintenance safety management	Maintenance crew safety, Training maintenance staff

Table 2 BIM capabilities and the proposed issues to be covered in manufacturing maintenance systems

380

381 **4.1 Object-oriented and 3D visualization**

382 Due to the object-oriented and 3-dimensional (3D) nature of BIM, modelers can virtually 383 define various building components and store their lifecycle information on digital replicas. 384 Different benefits that 3D visualization represents for maintenance operations are explained 385 below.

386 **4.1.1 3D interface augmented and virtual reality**

387 BIM allows managers to retrieve, analyze, and process information which facilitates buildings' 388 maintenance and operating processes [62]. The information extracted from the 3D interface of 389 BIM provides spatial relations between different building components for maintenance 390 engineers [63]. It helps them to accurately locate the broken items inside the components [64]. BIM also reduces the time required to perceive the building elements' geometric information 391 392 [62] and prevents misinterpretations [65]. Manufacturing maintenance teams may use the 3D 393 interface of BIM to better understand the spaces and relationships between elements and 394 provide accurate schedules for their operations. This approach to maintenance operations 395 reduces redundancies and operating costs.

396 BIM-based technologies are also used in augmented and virtual reality environments for 397 buildings. With the help of a virtual environment, users will accurately identify facilities and 398 their hidden or inaccessible parts on-site [66]. Moreover, they review the equipment's 399 information on its real image, which relieves them from the hassle of reading 2D maps and 400 diagrams [67]. This approach has reduced the maintenance operating time by up to 65% 401 compared to using 2D maps [68]. These technologies can also be used to train maintenance 402 trainees. Using BIM, the maintenance trainees can move virtually between facilities, inspect 403 different building spaces, elements, and equipment, and review relevant semantic data [64]. 404 Therefore, manufacturing maintenance teams may use virtual and augmented reality 405 technologies to retrieve and visualize the required information. These tools can also train production and maintenance employees safely and remotely from the actual equipment. 406

407 **4.1.2 Integration of the required maintenance information**

In addition to geometric information, BIM provides non-geometric and functional informationof each element, including sensors' information [69]. This information can include instructions,

410 baseline information, working parameters of each equipment, maintenance reports, images, 411 operation videos, and manufacturer's information [62], [70]. By integrating this information, 412 maintenance crews may access the 3D elements of each piece of equipment and its classified 413 information by entering its ID, scanning its barcode, or reading its RFID tag [71]. Moreover, 414 information models can receive and store the real-time data of each piece of equipment [72]. 415 The BIM integration as a database compensates for the data unavailability in the manufacturing 416 maintenance systems [2]. Thus, the manufacturing maintenance staff may access the 417 information they need to plan and perform operations in the shortest possible time, even from 418 outside of the complex.

419 BIM has facilitated the management of the vast amounts of information created and used in the 420 operation and maintenance of buildings [73]. The Construction Operations Building 421 Information Exchange (COBie) is the standard protocol for collecting and delivering non-422 geometric information required during the building operation phase [74]. This protocol has 423 prevented duplicate information and has reduced the cost and time of collecting the data 424 necessary [75]. In this perspective, BIM can also be effectively used to classify and gather 425 information needed for maintaining equipment in manufacturing companies. This platform can 426 prevent the collection of duplicate and unnecessary information and the loss of information, 427 thus ensuring the quality of the collected data.

428 **4.1.3 Historical data storage platform**

Maintenance-related decisions are usually highly dependent on historical data, such as design documents, equipment inspection records, and sensors' data [76]. BIM allows maintenance experts to store each piece of equipment's historical data on its 3D element in the model [77]. Accessing the most up-to-date history of any building element's failures through the BIM platform is an essential contribution to decision-makers to prioritize the implementation of maintenance measures required [78]. By creating such a rich source of historical data, 435 maintenance managers and engineers can analyze and identify the cause and effect patterns of failures [62]. Such patterns can help prepare a benchmark for future activities and effectively 436 437 invent new, creative, and improved solutions [65]. Maintenance managers can also identify the 438 root causes of failures by analyzing the recorded results of past operations, thus avoiding wasting time on unproductive activities [79]. Analyzing the historical information gathered on 439 440 BIM will also create a valuable insight for managers to measure the performance of 441 maintenance operations [80]. BIM plays a pivotal role in aggregating the history of equipment 442 failures in manufacturing plants. Rapid and integrated access to the historical costs and 443 resource information for each equipment component can provide the desired insight for 444 maintenance crews to efficiently manage maintenance operations and develop cost-effective 445 strategies. The historical data can also be used to measure maintenance performance and 446 prepare the performance baseline.

447 **4.1.4 Connection to the maintenance schedule**

448 Maintenance work orders can be attached to the BIM elements to visualize the maintenance 449 operation and identify spatiotemporal relationships between maintenance activities and 450 ongoing processes. This will help to prioritize better and streamline operations and 451 maintenance tasks [81]. During the operation, the maintenance crew can use mobile platforms 452 to access the 3D model, visually view the connected schedule, and communicate with the 453 engineers [82]. BIM can also be used to generate maintenance schedules automatically [83]. 454 Maintenance experts can examine various aspects of the operation and optimize it by 455 connecting 3D models of the shop floor to the maintenance schedule. This feature can provide 456 sufficient information regarding the existing safety risks of the operation. This capability can 457 also play a role as a visualized instruction for the maintenance team during operations to 458 eliminate redundancies and reduce costs.

459 **4.2 Collaborative Environment**

The BIM virtual environment enriched with the information from different building life phases paves the path for stakeholders' effective collaboration. In this section, the capabilities of the BIM-based collaborative environment that can bridge a part of the industrial maintenance system's shortcomings are discussed.

464 **4.2.1 Communication between stakeholders**

465 A BIM-based collaborative environment gathers various building maintenance stakeholders in 466 a single platform [84] and expedites consensus processes [85]. This platform provides an 467 exclusive access route for each stakeholder to extract their required information [86]. The 468 standard protocols developed for managing interactions, such as BIM Collaboration Format 469 (BCF) [87], facilitate the management of changes made by each stakeholder. During 470 maintenance operations, the operation crew on-site and the supporting staff in the office can 471 collaboratively work using the BIM platform [82], [88]. This capability can also be adopted in the manufacturing industry to facilitate communication between different stakeholders, 472 473 including the maintenance crews, production operators, and inventory staff.

474 **4.2.2 Design for maintenance**

475 Efficient interaction between different working groups and the design team improves the 476 design quality and the risk management of the building projects [89]. The BIM-based 477 collaborative environment provides an interactive platform to transfer maintenance needs to 478 the design team efficiently. These needs include identifying high-risk settings [90], visualizing 479 the designed workspaces [91], controlling the equipment maintainability, and checking the 480 protection level of equipment [64]. Manufacturing maintenance managers can also use the BIM 481 interactive environment to efficiently communicate with the plant designers, equipment manufacturers, and suppliers. 482

483 **4.3 Interoperability**

Industry Foundation Classes (IFC) [92] and COBie are two standard formats in BIM that deliver integrated access to information of different origins. In the following, the interoperability provided by BIM and its possible applications in manufacturing maintenance systems is discussed.

488 **4.3.1 Interoperability with existing maintenance management systems**

489 Inadequate interoperability among different computer-based systems is one of the main 490 challenges in adopting new systems [93]. This issue, however, has been dealt with in the BIM-491 based systems by introducing standard open-source formats, such as IFC, to connect different 492 information databases with BIM models [94], [95]. The BIM-based systems support various 493 use cases with different stakeholders involved by providing flexible access routes to extract 494 heterogeneously created information from multiple sources [86]. Since BIM model information 495 needs to be exchanged with other systems before it is used by end-users, interoperability has 496 become an essential BIM-based system capability [96]. It is expected that prospective BIM-497 based manufacturing maintenance systems can properly interconnect and complement the 498 existing computer-based maintenance tools.

499 **4.3.2 Interoperability with engineering analysis tools**

500 BIM is also a source of information that provides engineering analysis for buildings [97]. It 501 can effectively provide the prerequisites for life cycle cost analysis and life cycle sustainability 502 assessment of buildings [98]. BIM is also an appropriate information source for optimizing 503 buildings' energy consumption by providing building geometry, material type, and energy-504 related information visualization [99]. This optimization minimizes the need for changing the 505 building components during the operation phase and reduces the cost of repairs caused by the 506 relevant changes [100]. It is also possible to estimate the maintenance cost by combining the 507 information available in BIM and artificial intelligence, such as machine learning [101]. The 508 integrated model of BIM and Bayesian networks proposed by TohidiFar et al. [102] enables 509 the risk-informed diagnosis and prognosis of the building systems. Moreover, the newly 510 developed BIM-enabled machine learning algorithms can classify maintenance work order 511 reports and automatically structure collected information [81]. A similar approach can also be 512 followed in manufacturing industries to integrate machine intelligence with human knowledge 513 stored on BIM models. Energy analysis, environmental sustainability review, and maintenance 514 cost analysis are possible benefits of this BIM capability in manufacturing industries.

515 **4.4 Space management**

516 Space management includes the processes that measure the performance of allocated spaces 517 and ensure each space effectively serves its specified purpose. This section investigates the 518 BIM space management capability in digital equipment layout planning and safety 519 management.

520 **4.4.1 Digital equipment layout**

521 BIM is presented as a virtual environment that simulates and evaluates different layouts of 522 facilities [65]. BIM can quantify and visualize the available space and balance it against the 523 required space. The virtual environment enriched with information facilitates measuring the 524 performance of maintenance spaces, tracking space changes, and anticipating future spatial 525 needs of the business [64]. Using the digital display of space provided by BIM, manufacturing 526 maintenance activists can simulate different equipment layouts and evaluate the performance 527 of the maintenance process under each of these layouts.

528 **4.4.2 Maintenance safety management**

529 By presenting spatial information, such as the location of the power supply systems and 530 mechanical installations, BIM provides the necessary information to identify the safety risks

of maintenance operations [103], [104]. Managers can also train maintenance staff with safetyrelated information in a codified, orderly, and integrated way through the BIM environment [104]. This BIM capability can help manufacturing maintenance managers ensure their employees' safety while planning and provide adequate training to the maintenance operation team.

536 **5 A pilot proof-of-concept implementation**

537 A pilot BIM-based corrective maintenance model was implemented in an aluminum casting 538 plant case in the south of Iran for evaluating the applicability of BIM capabilities in the 539 manufacturing maintenance systems. The plant operation commenced in late 2019, and the 540 research team approached the company in the early stages of their operation period. Despite 541 the company's short operation period, involved practitioners in the study were experienced 542 professionals who moved into the company from other similar manufacturing companies. The 543 first step of the pilot implementation was to identify the data flow of best practices of 544 maintenance procedure in this manufacturing unit and incorporate BIM into the existing 545 procedures. Consequently, a pilot BIM-based collaborative corrective maintenance model is 546 developed. The developed BIM-based corrective maintenance software was then demonstrated 547 to and evaluated by the practitioners from different company departments. Different steps taken 548 to develop and evaluate the pilot model are presented below.

549

5.1 Developed model specification

As the first step of model development, the dataflow and main stakeholders of the selected manufacturing unit were identified. The equipment was maintained periodically, and corrective maintenance was performed in emergency breakdowns. Four main procedures were identified, and five types of stakeholders are considered the best practice of maintenance in the unit. By incorporating BIM into the data flow of this best practice, a BIM-based corrective maintenance 555 model was designed, which worked as a complement to the existing maintenance system of the 556 unit. Figure 1 represents the schematic view of the developed BIM-based corrective 557 maintenance model. The production line's BIM model was developed and uploaded into a BIM 558 server to form a collaborative environment accessible for different stakeholders through an 559 internet connection. Various stakeholders, including maintenance management crews, 560 maintenance executive crews, production operators, control room staff, and inventory 561 personnel, could use their laptops, desktops, smartphones, and tablets to log into the 562 collaborative environment with the specified levels of access to the BIM model and perform 563 their assigned tasks.

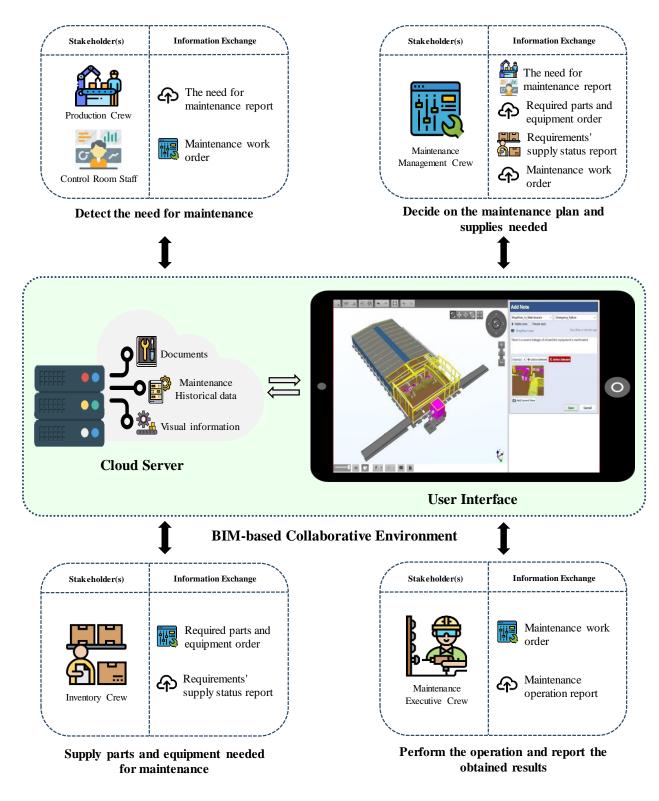




Figure 1 The schematic view of the developed pilot BIM-based collaborative corrective
 maintenance model

567 The research team utilized BIMServer open-source package [105] as a BIM-based 568 collaborative environment. BIMServer was installed on the server located outside of the 569 manufacturing unit. The maintenance application's interface was developed in C# and 570 connected to BIMServer using JavaScript object notion (JSON), a lightweight language-571 independent data exchange format [106]. As the primary communication protocol among the 572 different stakeholders, BCF [87] was utilized. During every maintenance activity, BCF files 573 were stored in the BIMServer, creating the historical trace of communications between 574 different parties.

575 In the developed BIM-based corrective maintenance scenario, production operators initially 576 identify issues in the production line. They then log into the BIM-based collaborative 577 environment, create a BCF file, and report the issue to the maintenance management 578 department. The BCF files contain an identification number of the affected equipment, the 579 failure type, and the proper explanation of the raised issue. The maintenance team receives the 580 reported issue. The 3D BIM environment, enriched with maintenance supporting information 581 and BCF explanations, helps the maintenance team remotely locate, diagnose, and prepare for 582 the operation. The maintenance team orders the parts required for the corrective maintenance 583 activities from the inventory through the collaborative environment. The inventory views the 584 list of the parts and responds to the maintenance team through the collaborative environment. 585 The maintenance team then coordinates the operation schedule with the production crew using 586 the BCF file. The maintenance team updates the BIM model after the operation, and the 587 operation report remains as historical data in the BIMserver.

588 **5.2 Results and discussions**

The developed model and scenario were presented to 20 managers and experts from maintenance, production, and procurement departments who participated in a three-hour interactive workshop. The participants were asked to discuss and evaluate seven proposed capabilities of the model. A three-level Likert scale [107] was used in the evaluation, with 1 representing low, 2 representing moderate, and 3 representing the capability's high benefit. The 594 final score of each target capability was calculated by averaging the received scores from 595 different participants. Table 3 illustrates the scores achieved for the target capabilities in the 596 experiment.

597

Table 3 Scores achieved for the target capabilities in the experiment

Target capability	Score	Rank
Fast and easy locating of broken items	2.82	1
Easy access to the instructions, warranty documents, and repair records	2.78	2
Efficient interactive platform in the cloud environment	2.76	3
Facilitated access to the list of the required spare parts	2.56	4
Improved organization of the repair and maintenance activities	2.28	5
Expedited troubleshooting process and analysis of the broken items	2.28	5
Facilitated decision making during emergencies	2.22	7

598

According to Table 3, the participants evaluated all proposed capabilities with above-average scores and found the proposed BIM-based model and its capabilities beneficial. The participants' strong support indicates the high potential application of the BIM-based collaborative corrective maintenance model in the large manufacturing industries.

603 The "Fast and easy locating of broken items" was recognized as the most prominent capability. 604 The interviewees highlighted that a large manufacturing unit encompasses numerous, complex, 605 and inter-related equipment distributed in an extensive area. Identifying the exact location of 606 broken items through the textual work-orders can be a challenging and time-consuming task. 607 The object-oriented, visual, and component search capability of BIM models can expedite the 608 locating process of the broken items. The "Easy access to the instructions, warranty documents, 609 and repair records" was considered the second most beneficial capability. The participants 610 stated that accessing the equipment information through 2D maps and paper-based databases 611 is a time-consuming task. The object-oriented database of BIM stores and integrates the 612 equipment information on its digital replica, facilitating information retrieval and knowledge management. BIM's capability in providing an "Efficient interactive platform in the cloud 613 614 environment" was also ranked third. The participants stated that the current communication 615 system could not satisfy the simultaneous interaction of several stakeholders. Well-developed 616 and standard interaction protocols in BIM (such as BCF) can gather various stakeholders in a 617 single platform, connects them, and ease the information exchange between different parties. 618 Moreover, Analyzing 2D information for estimating the spare part was reported to be highly 619 prone to errors. Experts stated that the maintenance teams analyze the high volume of 620 uncategorized, mainly 2D information for providing maintenance required parts, which is a highly error-prone and inefficient process. Creating access to integrated information and 621 622 connecting the inventory and maintenance units by leveraging the BIM models makes the 623 "Facilitated access to the list of the required spare parts" the fourth most important capability 624 of the proposed model from the experts' standpoint.

625 6 Conclusions

626 New technologies introduced to the manufacturing industry in the recent decade have caused 627 unprecedented challenges in this industry. The performed literature review in this research 628 identified the emerging manufacturing maintenance challenges as applications of digital and 629 smart technologies are expanded in manufacturing industries. Inspired by the recent advances 630 in the construction industry, this study proposed applying BIM capabilities in addressing the 631 emerging challenges of manufacturing maintenance systems. The proposed BIM-based 632 maintenance model was applied to a pilot proof-of-concept implementation to demonstrate its applicability to recover some of the existing issues in the corrective maintenance system of an 633 634 aluminum casting unit. Managers and experts from different departments participated in an 635 interactive workshop to evaluate the capabilities of the developed model. They found the 636 adopted BIM capabilities quite effective to respond to the identified corrective maintenance 637 issues.

638 This research contributes to the body of knowledge by identifying and classifying the emerging 639 challenges in manufacturing maintenance systems. The study sheds light on the opportunities 640 to respond to the maintenance challenges by leveraging the BIM technology potentials 641 motivated by the approach followed in the construction industry. The prepared list of 642 nominated manufacturing maintenance challenges to be recovered by specified BIM 643 capabilities is another original outcome of this study. The nominated challenges, however, do 644 not cover all identified challenges in the manufacturing maintenance systems. New research 645 efforts are encouraged to investigate other technologies and methods to respond to these 646 challenges. To the best of the authors' knowledge, the developed pilot BIM-based collaborative 647 corrective maintenance model in this research is the first BIM-based manufacturing 648 maintenance model developed for the manufacturing industry. The set of the deployed BIM-649 based methods and tools in this case practically demonstrates how the proposed notion of BIM 650 application can work in real manufacturing cases. In this perspective, another contribution of 651 this research is to prove the applicability of the proposed concept in practice.

652 One of the significant constraints of this study is the limited scope of the pilot proof-of-concept 653 implementation. Since the adopted maintenance strategy of the pilot manufacturing unit relies 654 on corrective maintenance, the proof-of-concept is mainly limited to the corrective 655 maintenance activities. Consequently, a limited number of BIM capabilities were implemented 656 in the pilot study. Furthermore, this study does not consider integrating the common 657 manufacturing maintenance tools, such as operation monitoring and control technologies, with 658 the BIM-based maintenance system. Future research is required to investigate the integration 659 of the BIM-based maintenance models with other parts of the maintenance systems. The trace of BIM development in the construction industry demonstrates a continual improvement in this 660 661 technology over the last decade to tailor BIM capabilities to its needs. The findings of this study reveal that the manufacturing industry can adopt a similar path to develop BIM-basedmaintenance systems suited to its needs.

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